



*CREO Document Submittal Project

CREO Document Submittal for Non e-Builder Projects: #1334

Subject: CUP/LOI (Contract Utilization Plan/Letter of Intent) Document Upload | Contract EV2267-23 | Tax-Exempt Special Obligation Bonds Series 2024A, Series 2024B, and Taxable Special Obligation Bonds, Series 2024C
Creator: Implementation, e-Builder
Date Created: 02.16.2024 12:53PM
Process Date Due:
Open: Yes

Accepted By:
Current Step: CREO MM CUP/LOI
Status: Received
Date Due:

Comments

Comment

2/22/2024 11:31:49 AM

By: Mark Runge, City of Kansas City, Missouri

A document was attached

**Civil Rights & Equal Opportunity Department
Economic Equity & Inclusion
Contractor Utilization Plan Approval Form**

Date:
Form Prepared By:

Contract/Project Number: EV2267-23	Developer/Prime: Hilltop Securities, Inc.
Project Name: Special Obligation Bonds, Series 2024A-C	Contact Information: george.williford@hilltopsecurities.com
Final Contract Value:	Address: 717 N. Harwood Street, Suite 3400, Dallas, TX 75201 Phone: (214) 953-8705 Facsimile: (214) 840-5081

Funding: City State Federal CO-OP Grant: Other:

Project Requirements: M/WBE DBE Section 3 N/A

Tax Incentive: LCRA TIF PIEA N/A Other:

Prevailing Wage: Yes No

Davis-Bacon: Yes No

Construction Employment Program: Yes: Workforce goals are 10% Minority & 2% Women. There are over 800 Workforce hours and project cost is \$300,000 or more.
 No: Workforce hours are less than 800 and project cost is less than \$300,000.

Contract Goals:	Contractor Utilization Plan Achievement:
Self-Perform: ____%	Self-Perform: ____%
MBE: <u>20</u> %	MBE: ____%
WBE: ____%	WBE: ____%
Non-certified firms: ____%	Non-certified firms: ____%

Contract Type:

Construction Design-Build Design Professional Professional Services

General Service Concession Other Goods & Services Non-Municipal Agency

Co-Operative Revenue Sharing Facilities Maintenance/Repair/Renovation

Other:

Additional Information:

Hilltop Securities, Inc. is the financial advisor for the City's Aviation, Water, Sewer, General Obligation Bonds, Revenue and annual appropriation Bonds (Special Obligation Bonds). The above-referenced contract is executed under RFP EV2267. This contract is for financial advisory services for the issuance of the Tax-Exempt Special Obligation Bonds Series 2024A, Series 2024B, and Taxable Special Obligation Bonds, Series 2024C. All travel and miscellaneous expenses are included in the fee for financial advisory services. The printing and electronic dissemination services will be reimbursed separately to the Contractor at the cost of services provided as evidenced by the actual invoices provided. The Co-Financial Advisory Services goal of MBE/WBE is 20% of contracted financial advisory fee, which are included in the fees.

This document is submitted with all available facts. Intentionally falsifying this document or omitting pertinent facts is grounds for disciplinary action pursuant to KCMO Human Resources Rules & Policy Manual (eff. August 4, 2014).

FOR CIVIL RIGHTS & EQUAL OPPORTUNITY DEPARTMENT (CREO) USE ONLY:

The Contractor Utilization Plan is:

Approved Disapproved

20 % MBE 0 % WBE _____ % DBE

The Request for Good Faith Efforts Waiver is:

Approved Disapproved Not Applicable

Appeal Sent to FICB or Incentive Agency? Yes _____ FICB _____ Incentive Agency No

DocuSigned by:
CREO Signature: Mark Runge Date: 2/22/2024

Comments:

Contractor Utilization Plan (CUP) MUST be submitted to CREO prior to being posted on docket for review & approval from Council.



Inter-Departmental Communication

Date: February 22, 2024

To: Councilman Kevin O'Neill; Chair: Transportation, Infrastructure & Operations Committee

From: Jaime Guillen; Director Civil Rights & Equal Opportunity Department

Subject: CUP Summary #:

CONTRACTOR: Hilltop Securities, Inc
Address: 717 N. Harwood St., Suite 3400
Dallas, TX 75201
Contract # EV2267-23 – Financial Advisory Services for Special Obligation Bonds, Series 2024A & 2024B (Tax Exempt) & Series 2024C (Taxable)
Contract Amount: \$142,750.00*
MBE Goal 20%
WBE Goal: 0%
Total MBE Achieved: 20%
Total WBE Achieved: 0%

MBE SUBCONTRACTORS:
Name: Moody Reid Financial Advisors, LP
Address: 420 Nichols Rd., Suite 63,
Kansas City, MO 64112
Scope of Work: Financial Advisory Services
Dollar Amount: \$27,000
Ownership: Coe, Douglas
Structure: African-American Male Code 15

Comments:
*Note: Contract amount includes reimbursable costs of \$7,750. The participation was calculated based on the remaining amount for Financial Advisory services of \$135,000.