DocuSign Envelope ID: 26235E48-18C4-4794-85FD-3F0A2EC74BD3

CREO - Division of Economic Equity & Inclusion

Contractor Utilization Plan Approval Form

Prepared by: Leona Walton

e-Builder users: Approval Form must be completed and attached in PDF format where indicated. CUP/LOIs must be attached where indicated, or as supporting documents.

Date: 12/20/23

Contract/Project Number: 9826/80002479	Project Name: Water Main Replacement - Subsidiary Replacements
Developer/Prime: Leath & Sons, Inc.	Contact Name: Jennifer Riordan/816-353-8623
Address:	Email: clerical@leathandsons.com

Full Contract Value: $ 500,000.00

Funding: ☑ City ☐ State ☐ Federal ☐ CO-OP ☐ Grant: ☐ Other:
Project Requirements: ☑ M/WBE ☐ DBE ☐ Section 3 ☐ N/A
Tax Incentive: ☐ LCRA ☐ TIF ☐ PIEA ☑ N/A ☐ Other:
Prevailing Wage: ☑ Yes ☐ No
Davis-Bacon: ☐ Yes ☑ No

Construction Employment Program: ☑ Yes: Workforce goals are 10% Minority & 2% Women. There are over 800 Workforce hours and project cost is $300,000 or more.
☐ No: Workforce hours are less than 800 and project cost is less than $300,000.

Contract Goals:	Contractor Utilization Plan Achievement:
Self-Perform: ____%	Self-Perform: ____%
MBE: 11 %	MBE: 11 %
WBE: 11 %	WBE: 11 %
Non-certified firms: ____%	Non-certified firms: ____%

Contract Type (select one): ◉ Construction ○ Design-Build ○ Design Professional ○ Professional Services ○ General Services ○ Facilities Maintenance/Repair/Renovation ○ Concessions ○ Co-operative ○ Revenue Sharing ○ Non-Municipal Agency ○ Other Goods & Services ○ Other_____

Project Manager: Jason Wright Email: Jason.Wright@kcmo.org

Additional Information:
Jason Wright's phone # is 816-585-9282

This document is submitted with all available facts. Intentionally falsifying this document or omitting pertinent facts is grounds for disciplinary action pursuant to KCMO Human Resources Rules & Policy Manual (eff. August 4, 2014).

FOR CIVIL RIGHTS & EQUAL OPPORTUNITY DEPARTMENT (CREO) USE ONLY:

The Contractor Utilization Plan is:

☒ Approved ☐ Disapproved

11 % MBE	11 % WBE	% DBE

The Request for Good Faith Efforts Waiver is:

☐ Approved ☐ Disapproved ☒ Not Applicable

Appeal Sent to FICB or Incentive Agency? ☐ Yes _____ FICB _____ Incentive Agency ☐ No

CREO Signature: DocuSigned by: Rio Cervantes-Reed FEC59467D32E400... Date: 1/11/2024

Comments:

Contractor Utilization Plan (CUP) MUST be submitted to CREO prior to being posted on docket for review & approval from Council.

DocuSign Envelope ID: 26235E48-18C4-4794-85FD-3F0A2EC74BD3

Civil Rights & Equal Opportunity Department
Economic Equity & Inclusion
Nondiscrimination & Equal Opportunity Review Form

Date: 12/20/2023
Form Prepared By: Leona Walton

Contract/Project Number: 9826/80002479	**Project Name:** Water Main Replacement - Subsidiary Replacements
Developer/Prime: Leath & Sons, Inc.	**Contact Information:** Jennifer Riordan/clerical@leathandsons.com/816-353-8623
Final Contract Value: $ 500,000.00	**Project Manager:** Jason Wright/Jason.Wright@kcmo.org/816-585-9282

Funding:	☑ City	☐ State	☐ Federal	☐ CO-OP	☐ Grant:	☐ Other:
Project Requirements:	☑ M/WBE	☐ DBE	☐ Section 3	☐ N/A		
Tax Incentive:	☐ LCRA	☐ TIF	☐ PIEA	☐ Ch. 100	☐ Other:	☑ N/A
Prevailing Wage:	☑ Yes	☐ No				
Davis-Bacon:	☐ Yes	☑ No				

Construction Employment Program:
☑ Yes: Workforce goals are 10% Minority & 2% Women. There are over 800 Workforce hours and project cost is $300,000 or more.
☐ No: Workforce hours are less than 800 and project cost is less than $300,000.

Contracts & Leases	Nondiscrimination
Ch. 3 Article IV: X	Ch. 38: X
RSMo 213: X	Title VI: X
MWDBE: yes	Prevailing Wage and Labor Standards: yes
SLBE: n/a	RSMo 34 Anti-Discrimination Against Israel: yes

Contract Type:
☑ Construction ☐ Design-Build ☐ Design Professional ☐ Professional Services
☐ General Service ☐ Concession ☐ Other Goods & Services ☑ Non-Municipal Agency
☑ Co-Operative ☐ Revenue Sharing ☐ Facilities Maintenance/Repair/Renovation
☐ Other:

Additional Information:
Jason Wright's phone # is 816-585-9282

This document is submitted with all available facts. Intentionally falsifying this document or omitting pertinent facts is grounds for disciplinary action pursuant to KCMO Human Resources Rules & Policy Manual (eff. August 4, 2014).

FOR CIVIL RIGHTS & EQUAL OPPORTUNITY DEPARTMENT (CREO) USE ONLY:

The Document is:
☒ Approved ☐ Disapproved

Changes Needed:

Federal Provisions Included:
☒ Approved ☐ Disapproved ☐ Not Applicable

CREO Signature: DocuSigned by: Rio Cervantes-Reed FEC59457D32E400... Date: 1/11/2024

Comments:
For future reference this document was discontinued 12/1/2023 as the CREO Assurances are now built into all Procurement contract boilerplate language. Thank you.

INTER-DEPARTMENTAL COMMUNICATION

Date: January 11, 2024

To: Councilmember Kevin O'Neill, Chair, Transportation, Infrastructure & Operations Committee

From: Jaime Guillen, Director; Civil Rights & Equal Opportunity Department

Subject: 9826 800002479 Water Main Replacement Subsidiary Replacements

PRIME CONTRACTOR:

Name:	Leath & Sons, Inc.
Address:	9301 East 63rd Street, Raytown, MO 64133
Contract # & Name:	9826 / 800002479; WMR Subsidiary Replacements
Contract Type:	Construction
Contract Amount:	$500,000.00

MBE Goal:	11%	Total MBE Achieved:	11%
WBE Goal:	11%	Total WBE Achieved:	11%

MBE SUBCONTRACTORS:

Name:	Diggs Construction, LLC
Address:	2033 Vine Street, Kansas City, MO 64108
Scope of Work:	Waterline Installation
Dollar Amount:	$39,577.38 (9%)
Ownership:	Dale Diggs
Structure:	African American Male Code 15

Name:	Total Sodding & & Seeding
Address:	P.O. Box 746, Belton, MO 64012
Scope of Work:	Hydroseeding
Dollar Amount:	$8,794.97 (2%)
Ownership:	Randolph, Martin
Structure:	African-American Male Code 15

WBE SUBCONTRACTORS:

Name:	Streetwise, Inc.
Address:	13501 Arrington Road, Grandview, MO 64030
Scope of Work:	Traffic Control
Dollar Amount:	$5,200 (1.2%)
Ownership:	Shawna Hettinger
Structure:	Caucasian Female Code 13

INTER-DEPARTMENTAL COMMUNICATION

WBE SUBCONTRACTORS (continued):

Name:	EJ & Sons Construction, LLC
Address:	3910 Norton, Kansas City, MO 64130
Scope of Work:	Trucking & Hauling of construction debris and materials
Dollar Amount:	$43,172.35 (9.8%)
Ownership:	Elwanda Jones
Structure:	African American Female Code 23

COMMENTS:

MBE UTILIZATION: $48,372.35 / FULL CONTRACT VALUE: $500,000.00 = **11%**

WBE UTILIZATION: $48,372.35 / FULL CONTRACT VALUE: $500,000.00 = **11%**

CITY OF FOUNTAINS
HEART OF THE NATION

KANSAS CITY
MISSOURI

CONTRACTOR UTILIZATION PLAN/REQUEST FOR WAIVER

Project Number 80002479/9826

Project Title Water Main Replacement-Subsidiary Replacements

General Services	KC Water
(Department Project)	Department

Leath & Sons, Inc.

(Bidder/Proposer)

STATE OF Missouri)
) ss
COUNTY OF Jackson)

I, Jeffery B Leath, of lawful age and upon my oath state as follows:

1. This Affidavit is made for the purpose of complying with the provisions of the MBE/WBE submittal requirements on the above project and the MBE/WBE Program and is given on behalf of the Bidder/Proposer listed below. It sets out the Bidder/Proposer's plan to utilize MBE and/or WBE contractors on the project.

2. The project target goals are 11 **% MBE** and 11 **% WBE.**

3. Bidder/Proposer assures that it will utilize a minimum of the following percentages of MBE/WBE participation in the above project:

   - **BIDDER/PROPOSER PARTICIPATION:** 11 **% MBE** 11 **% WBE**

   - **POST-BID/POST-RFP ESTIMATED BUDGET: $**_____

4. The following are the M/WBE subcontractors whose utilization Bidder/Proposer warrants will meet or exceed the above-listed Bidder/Proposer Participation. Bidder/Proposer warrants that it will utilize the M/WBE subcontractors to provide the goods/services described in the applicable Letter(s) of Intent to Subcontract, copies of which shall collectively be deemed incorporated herein). ***(All firms must currently be certified by Kansas City, Missouri)***

   - Name of M/WBE Firm Diggs Construction, LLC
     Address 2033 Vine St Kansas City, MO 64108
     Telephone No. 816-994-6950
     I.R.S. No. 48-1210627

Name of M/WBE Firm Total Sodding & Seeding
Address PO Box 746 Belton, MO 64012
Telephone No. 816-779-1102
I.R.S. No. 43-1981481

Name of M/WBE Firm Streetwise, Inc.
Address 13501 Arrington Road Grandview, MO 64030
Telephone No. 816-331-2355
I.R.S. No. 77-0676750

Name of M/WBE Firm EJ & Sons
Address 3910 Norton Kansas City, MO 64130
Telephone No. 816-565-3599
I.R.S. No. 47-4117083

Name of M/WBE Firm _____
Address _____
Telephone No. _____
I.R.S. No. _____

Name of M/WBE Firm _____
Address _____
Telephone No. _____
I.R.S. No. _____

(List additional M/WBEs, if any, on additional page and attach to this form)

4. The following is a breakdown of the percentage of the total contract amount that Bidder/Proposer agrees to pay to each listed M/WBE:

MBE/WBE BREAKDOWN SHEET

MBE FIRMS:

Name of MBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
Diggs Construction, LLC	Contractor	$ 39,577.38	$ 39,577.38	9.00
Total Sodding and Seeding	Contractor	$ 8,794.97	$ 8,794.97	2.00

TOTAL MBE $ / TOTAL MBE %: $ 48,372.35 11.00 %

WBE FIRMS:

Name of WBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
Streetwise, Inc.	Contractor	$ 5,200.00	$ 5,200.00	1.20
EJ & Sons	Contractor	$ 43,172.35	$ 43,172.35	9.80

TOTAL WBE $ / TOTAL WBE %: $ 48,372.35 11.00 %

*"Subcontract Amount" refers to the dollar amount that Bidder/Proposer has agreed to pay each M/WBE subcontractor as of the date of contracting and is indicated here solely for the purpose of calculating the percentage that this sum represents in proportion to the total contract amount. Any contract amendments and/or change orders changing the total contract amount may alter the amount due an M/WBE under their subcontract for purposes of meeting or exceeding the Bidder/Proposer participation.

**"Weighted Value" means the portion of the subcontract amount that will be credited towards meeting the Bidder/Proposer participation. See CREO KC Forms and Instructions for allowable credit and special instructions for suppliers.

5. Bidder/Proposer acknowledges that the monetary amount to be paid each listed M/WBE for their work, and which is approved herein, is an amount corresponding to the percentage of the total contract amount allocable to each listed M/WBE as calculated in the MBE/WBE Breakdown Sheet. Bidder/Proposer further acknowledges that this amount may be higher than the subcontract amount listed therein as change orders and/or amendments changing the total contract amount may correspondingly increase the amount of compensation due an M/WBE for purposes of meeting or exceeding the Bidder/Proposer participation

6. Bidder/Proposer acknowledges that it is responsible for considering the effect that any change orders and/or amendments changing the total contract amount may have on its ability to meet or exceed the Bidder/Proposer participation. Bidder/Proposer further acknowledges that it is responsible for submitting a Request for Modification or Substitution if it will be unable to meet or exceed the Bidder/Proposer participation set forth herein.

7. If Bidder/Proposer has not achieved both the M/WBE goal(s) set for this Project, Bidder/Proposer hereby requests a waiver of the MBE and/or WBE goal(s) that Bidder/Proposer has failed to achieve

8. Bidder/Proposer will present documentation of its good faith efforts, a narrative summary detailing its efforts and the reasons its efforts were unsuccessful when requested bythe City.

9. I hereby certify that I am authorized to make this Affidavit on behalf of the Bidder/Proposer named below and who shall abide by the terms set forth herein:

Bidder/Proposer primary contact: Leath & Sons, Inc./Dave Oshel
Address: 9301 E 63rd St Raytown, MO 64133

Phone Number: 816-353-8623
Facsimile number: n/a
E-mail Address: clerical@leathandsons.com

By: _____
Title: President
Date: 12/07/2023
(Attach corporate seal if applicable)

Subscribed and sworn to before me this 7 day of December, 2023.

My Commission Expires: 11/26/2026

_____
Notary Public

JENNIFER RIORDAN
My Commission Expires
11-26-2026
NOTARY SEAL
Jackson
County
Commission # 18075677
NOTARY PUBLIC - STATE OF MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Check one:	
Original LOI:	☐
Updated LOI:	☐

Project Name/Title Water Main Replacements-Subsidiary Replacements

Project Location/Number 80002479/9826

PART I: Prime Contractor Leath & Sons, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor Diggs Construction, LLC who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Water Main Replacement/Installation

for an estimated amount of $ 39,577.38 (or _____% of the total estimated contract value.)

M/WBE Vendor type:

- ☑ Subcontractor/manufacturer (counts as 100% of contract value towards goals)
- ☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
- ☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one:

- ☑ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
- ☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: Diggs Construction, LLC

Full address: 2033 Vine Street (Street number and name) Kansas City, MO 64108 (City, State and Zip Code)

Primary contact: Dale Diggs (Name) 316-648-3428 (Phone)

a) This subcontractor is (select one): ☑ MBE ☐ WBE ☐ DBE N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: Water main replacement/installation

c) The dollar value of this agreement is: $39,577.38

PART 3:

NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI); SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).

PRIME CONTRACTOR BUSINESS NAME: Leath & Sons, Inc.

Signature: Prime Contractor

Jeffery B Leath
Print Name

President
Title

12/7/2023
Date

State of Missouri)

County of)

I, Jeffery B Leath, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 7 day of December, 2023

My Commission Expires: 11/26/2026

Notary Public

STAMP:

JENNIFER RIORDAN My Commission Expires 11-26-2026 NOTARY SEAL Jackson County Commission # 18075677 NOTARY PUBLIC STATE OF MISSOURI

MWDBE SUBCONTRACTOR BUSINESS NAME: Diggs Construction, LLC

Signature: Subcontractor

Dale Diggs
Print Name

President
Title

12/7/2023
Date

State of Kansas)

County of Sedgwick)

I, Corelia Diggs, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this day of December, 2023

My Commission Expires: February 15, 2024

Notary Public

STAMP:

CORELIA M. DIGGS NOTARY PUBLIC My Appt. Exp. Feb. 15, 2024 STATE OF KANSAS

LETTER OF INTENT TO SUBCONTRACT

Check one:	
Original LOI:	☐
Updated LOI:	☐

Project Name/Title Water Main Replacements-Subsidiary Replacements

Project Location/Number 80002479/9826

PART 1: Prime Contractor Leath & Sons, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor Total Sod and Seeding who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Sodding and Seeding

for an estimated amount of $ 8,794.97 (or _____% of the total estimated contract value.)

M/WBE Vendor type:
- ☑ Subcontractor/manufacturer (counts as 100% of contract value towards goals)
- ☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
- ☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one:
- ☑ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
- ☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: Total Sodding & Seeding Inc 64078

Full address: 23702 S. Scottsdale DR Peculiar MO
Street number and name City, State and Zip Code

Primary contact: Debra Randolph 816-779-1102
Name Phone

a) This subcontractor is (select one): ☐MBE ☒WBE ☐DBE N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: Sodding & Seeding

c) The dollar value of this agreement is: 8,794.97

PART 3:

NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI); SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).

PRIME CONTRACTOR BUSINESS NAME: Leath & Sons, Inc.

Signature: Prime Contractor

Jeffery B Leath
Print Name

President
Title

12/7/2023
Date

State of Missouri)
County of)

I, Jeffery B Leath, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 7 day of December, 2023

My Commission Expires: 11/26/2026

Notary Public

STAMP:

JENNIFER RIORDAN My Commission Expires 11-26-2026 NOTARY SEAL Jackson County Commission # 18075677 NOTARY PUBLIC - STATE OF MISSOURI

MWDBE SUBCONTRACTOR BUSINESS NAME: Total Sod and Seeding

Signature: Subcontractor

Debra D Randolph
Print Name

President
Title

12-6-2023
Date

State of Missouri)
County of Jackson)

I, Debra Randolph, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 7 day of December, 2023

My Commission Expires: 11/26/2026

Notary Public

STAMP:

JENNIFER RIORDAN My Commission Expires 11-26-2026 NOTARY SEAL Jackson County Commission # 18075677 NOTARY PUBLIC - STATE OF MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Check one:	
Original LOI:	☐
Updated LOI:	☐

Project Name/Title Water Main Replacements-Subsidiary Replacements

Project Location/Number 80002479/9826

PART I: Prime Contractor Leath & Sons, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor Streetwise, Inc. who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Traffic Control

for an estimated amount of $ 5,200.00 (or_____% of the total estimated contract value.)

M/WBE Vendor type:
- ☑ Subcontractor/manufacturer (counts as 100% of contract value towards goals)
- ☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
- ☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one:
- ☑ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
- ☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____
Street number and name City, State and Zip Code

Primary contact: _____
Name Phone

a) This subcontractor is (select one): ☐MBE ☐WBE ☐DBE N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____

PART 3:

NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI); SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).

PRIME CONTRACTOR BUSINESS NAME: Leath & Sons, Inc.

Signature: Prime Contractor

Jeffery B Leath
Print Name

President
Title

12/7/2023
Date

State of Missouri)

County of)

I, Jeffery B Leath, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 7 day of December, 2023

My Commission Expires: 11/26/2026

Notary Public

STAMP:

JENNIFER RIORDAN
My Commission Expires
11-26-2026
NOTARY SEAL
Jackson
County
Commission # 18075677
NOTARY PUBLIC - STATE OF MISSOURI

MWDBE SUBCONTRACTOR BUSINESS NAME: Streetwise, Inc.

Signature: Subcontractor

Shawna Hettinger
Print Name

President
Title

12/6/2023
Date

State of Missouri)

County of Jackson)

I, Courtney Bratkiv, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this day of Dec, 2023

My Commission Expires: 2/17/2024

Notary Public

STAMP:

COURTNEY LYNNE BRATKIV
NOTARY PUBLIC, NOTARY SEAL
STATE OF MISSOURI
JACKSON COUNTY
COMMISSION # 20576073
MY COMMISSION EXPIRES: February 17, 2024

LETTER OF INTENT TO SUBCONTRACT

Check one:	
Original LOI:	☐
Updated LOI:	☐

Project Name/Title Water Main Replacements-Subsidiary Replacements

Project Location/Number 80002479/9826

PART I: Prime Contractor Leath & Sons, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor EJ & Sons who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Trucking and hauling, Concrete restoration

for an estimated amount of $ 43,172.35 (or _____% of the total estimated contract value.)

M/WBE Vendor type:

- ☑ Subcontractor/manufacturer (counts as 100% of contract value towards goals)
- ☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
- ☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one:

- ☑ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
- ☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____
Street number and name City, State and Zip Code

Primary contact: _____
Name Phone

a) This subcontractor is (select one): ☐MBE ☐WBE ☐DBE N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____

PART 3:

NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI); <u>SIGNATURES ONLY</u> FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).

PRIME CONTRACTOR BUSINESS NAME: Leath & Sons, Inc.

Signature: Prime Contractor

Jeffery B Leath
Print Name

President
Title

12/7/2023
Date

State of Missouri)

County of)

I, Jeffery B Leath, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 7 day of December, 20 23

My Commission Expires: 11/26/2026

Notary Public

STAMP

JENNIFER RIORDAN My Commission Expires 11-26-2026 NOTARY SEAL Jackson County Commission # 18075677 NOTARY PUBLIC - STATE OF MISSOURI

MWDBE SUBCONTRACTOR BUSINESS NAME: EJ & Sons

Signature: Subcontractor

Mark Jones
Print Name

Manager
Title

12-6-2023
Date

State of Missouri)

County of Jackson)

I, Mark Jones, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 7 day of December, 20 23

My Commission Expires: 11/26/2026

Notary Public

STAMP

JENNIFER RIORDAN My Commission Expires 11-26-2026 NOTARY SEAL Jackson County Commission # 18075677 NOTARY PUBLIC - STATE OF MISSOURI

TIMETABLE FOR MBE/WBE UTILIZATION

(This form should be submitted to the City after contract award.)

I, Jeffery B Leath, acting in my capacity as President
(Name) *(Position with Firm)*
of Leath & Sons, Inc., with the submittal of this Timetable, certify that
(Name of Firm)
the following timetable for MBE/WBE utilization in the fulfillment of this contract is correct and true to the best of my knowledge.

ALLOTTED TIME FOR THE COMPLETION OF THIS CONTRACT
(Check one only)

15 days	☐	75 days	☐	135 days	☐
30 days	☐	90 days	☑	150 days	☐
45 days	☐	105 days	☐	165 days	☐
60 days	☐	120 days	☐	180 days	☐

Other _____ (Specify)

Throughout $96,744.70 Beginning 1/3 $32,248.23
Middle 1/3 $32,248.23 Final 1/3 $32,248.23
Beginning 1/3 33.33 % Middle 1/3 33.33 % Final 1/3 33.33 %

PLEASE NOTE: Any changes in this timetable require approval of the Civil Rights & Equal Opportunity Department in advance of the change.

If you have any questions regarding the completion of this form, please contact the Civil Rights & Equal Opportunity Department at: (816) 513-1836**.**

(Signature)

President
(Position with Firm)

12/07/2023
(Date)

City of Kansas City, Missouri
Human Relations Department
Construction Contractor Employee Identification Report

Company Name: LEATH & SONS, INC
Company Address: 9301 E 63RD ST
Company City, State, Zip: RAYTOWN MO 64133

Prime's Name: LEATH & SONS, INC.
KCMO Project Name: WMR - Subsidiary Replacements
KCMO Project Number: 80002479/9826

Name of Person Completing Report: JENNIFER RIORDAN
Phone Number: 816-353-8623
Email: clerical@leathandsons.com

Today's Date: 12/7/2023
City Department: General Services

Instructions:

1) Each applicable Prime Contractors **must complete this form for its company within 48 hours of bid opening**
2) The Human Relations Department strongly recommends usage of the electronic version of this form. This form may be obtained by visiting www.kcmo.gov website. The website is enabled with a "search" function on the Home page in the center titled "What are you looking for?". Type in the "What are you looking for?" field *Contract Central*. Click on the link to Standard City Contract Forms. Scroll down to *Construction Contractor Employee Identification Report* and click the link to open this document. Complete the fields in the Employee section; the *Official Use Only* section will automatically populate. **NOTE: This form can be printed and attached to other required Bid documents.**
3) All subcontractors shall be required to complete this form and submit to the Prime Contractor. For each subcontractor, the Prime must submit this form to City at least at least (10) days prior to the date the subcontractor shall commence work under a city construction contract.
4) Complete this form if you are the Prime contractor on a City construction project estimated over **$300,000 & over 800 man hours.**
5) Complete this form with data from your **current construction workforce** (no office personnel).
6) Prime contractor is responsible to ensure subcontractor completes this form as required in #3 above.

Official Use Only

Females		*KCMO Resident*
African American	*0*	*0*
Asian/Pacific Islander American	*0*	*0*
Caucasian American	*1*	*0*
Hispanic/Latino American	*0*	*0*
Native American	*0*	*0*
Other	*0*	*0*
	1	*0*

Males		*KCMO Resident*
African American	*0*	*0*
Asian/Pacific Islander American	*0*	*0*
Caucasian American	*4*	*1*
Hispanic/Latino American	*5*	*1*
Native American	*0*	*0*
Other	*0*	*0*
	9	*2*

Number of KCMO Residents	*2*
Number of Journeyman	*10*
Number of Apprentice	*0*

	Journeyman	*Apprentice*
Foreman/Supervisor	*0*	*--*
Asbestos Worker	*0*	*0*
Boilermaker	*0*	*0*
Bricklayer	*0*	*0*
Carpenter	*0*	*0*
Cement Mason	*0*	*0*
Electrician	*0*	*0*
Elevator Constructor	*0*	*0*
Glazier	*0*	*0*
Iron Worker	*0*	*0*
Laborer	*6*	*0*
	6	*0*

	Journeyman	*Apprentice*
Operating Engineer	*2*	*0*
Painter	*0*	*0*
Pipe Fitter/Plumber	*0*	*0*
Plasterer	*0*	*0*
Roofer	*0*	*0*
Sheet Metal	*0*	*0*
Sprinkler Fitter	*0*	*0*
Truck Driver	*1*	*0*
Welder	*0*	*0*
Other	*1*	*--*
	4	*0*

Company Name: LEATH & SONS, INC KCMO Project Name: WMR - Subsidiary Replacement KCMO Project Number: 80002479/9826

	Name		Job Title (*use drop down menu*)	Address	City	State	Zip Code	KCMO Resident	Gender	Ethnicity
	Last	First								
1	GUZMAN	GENARO	Laborer_(Journeyman)	114 5TH ST	BUCYRUS	KS	66013	No	Male	Hispanic/Latino_American
2	GUZMAN	RAMON	Laborer_(Journeyman)	2519 QUINCY ST	KANSAS CITY	MO	64127	Yes	Male	Hispanic/Latino_American
3	STREET	GREGERY	Laborer_(Journeyman)	7912 HEDGES	RAYTOWN	MO	64138	No	Male	Caucasian_American
4	KAUFFMAN	ELDEN	Operating_Engineer_(Journeyman)	35612 E JIM OWENS RD	OAK GROVE	MO	64075	No	Male	Caucasian_American
5	MAUPIN	GREGORY	Laborer_(Journeyman)	11525 S SPAINHOUR	LONE JACK	MO	64070	No	Male	Caucasian_American
6	ANDRADE	ALEJANDRO	Truck_Driver_(Journeyman)	13407 PARK HILLS DRIVE	GRANDVIEW	MO	64030	No	Male	Hispanic/Latino_American
7	ALBOR	RICARDO	Laborer_(Journeyman)	2322 QUINCY ST	KANSAS CITY	MO	64127	No	male	Hispanic/Latino_American
8	MARCUM	TYLER	Operating_Engineer_(Journeyman)	988 J W Cummings Rd	Oak Grove	MO	64075	Yes	Male	Caucasian_American
9	CONTRERAS JR	JUAN	Laborer_(Journeyman)	101 NW WALNUT ST	LEE'S SUMMIT	MO	64063	NO	Male	Hispanic/Latino_American
10	RIORDAN	JENNIFER	Other	329 SE GOLDEN LANE	LEE'S SUMMIT	MO	64063	No	Female	Caucasian_American
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14										
15										

Company Name: LEATH & SONS, INC KCMO Project Name: WMR - Subsidiary Replacement KCMO Project Number: 80002479/9826

	Name		Job Title (*use drop down menu*)	Address	City	State	Zip Code	KCMO Resident	Gender	Ethnicity
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Company Name: LEATH & SONS, INC KCMO Project Name: WMR - Subsidiary Replacement: KCMO Project Number: 80002479/9826

	Name		Job Title (*use drop down menu*)	Address	City	State	Zip Code	KCMO Resident	Gender	Ethnicity
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CITY OF FOUNTAINS
HEART OF THE NATION
KANSAS CITY

AFFIDAVIT OF TRAINING PROGRAM

This form must be submitted with 48 hours of Bid Opening

Bidder Leath & Sons, Inc.

Project Title and Number 80002479/9826

STATE OF MISSOURI)
) ss:
COUNTY OF Jackson)

After being duly sworn the person whose name and signature appears below hereby states under penalty of perjury that:

1. I am the duly authorized officer of the business indicated above ("Bidder") and I make this affidavit on behalf of Bidder.
2. Bidder certifies that it presently participates in a training program that facilitates entry into the construction industry and which may include an on-the-job or in-house training program, further described as follows:

   OSHA 10 hour training for all employees

   Weekly toolbox talk training on jobsite

   Midwest Builders Casualty Quarterly Jobsite

   Union Trained Employees

   United Rentals Trench Safety Training

   (attach additional pages, if necessary)

3. If requested by the City, Bidder agrees to provide City further documentation of, or other information about, this training program within 48 hours of the request.
4. Bidder acknowledges that failure to submit this form to the City within 48 hours of the Bid Opening will automatically render its bid non-responsive.

I am authorized to make this Affidavit on behalf of the Bidder named below as:

President (Title) of Leath & Sons, Inc. (Name of Bidder)

Dated: 12/06/2023 By: (Affiant)

Subscribed and sworn to before me this 6 day of December, 2023.

My Commission Expires: 11/26/2026

Notary Public

JENNIFER RIORDAN
My Commission Expires
11-26-2026
NOTARY SEAL
Jackson
County
Commission # 18075671
NOTARY PUBLIC - STATE OF MISSOURI