CONTRACTOR UTILIZATION PLAN/REQUEST FOR WAIVER

Project Number 8100821 – 01394

Project Title Blue River Biosolids Facility

Blue River WWTP Biosolids Improvements (Department Project)

Water Services Department

Carollo Engineers, Inc. (Bidder/Proposer)

STATE OF Missouri)
) ss
COUNTY OF Jackson)

I, Lynn Norton, of lawful age and upon my oath state as follows:

1. This Affidavit is made for the purpose of complying with the provisions of the MBE/WBE submittal requirements on the above project and the MBE/WBE Program and is given on behalf of the Bidder/Proposer listed below. It sets out the Bidder/Proposer's plan to utilize MBE and/or WBE contractors on the project.

2. The project target goals are 14 **% MBE** and 11 **% WBE.**

3. Bidder/Proposer assures that it will utilize a minimum of the following percentages of MBE/WBE participation in the above project:

   - **BIDDER/PROPOSER PARTICIPATION:** 14 **%** **MBE** 11 **%** **WBE**

   - **POST-BID/POST-RFP ESTIMATED BUDGET: $**_____

4. The following are the M/WBE subcontractors whose utilization Bidder/Proposer warrants will meet or exceed the above-listed Bidder/Proposer Participation. Bidder/Proposer warrants that it will utilize the M/WBE subcontractors to provide the goods/services described in the applicable Letter(s) of Intent to Subcontract, copies of which shall collectively be deemed incorporated herein). ***(All firms <u>must</u> <u>currently</u> be certified by Kansas City, Missouri)***

   - Name of M/WBE Firm Custom Engineering, Inc.
     Address 12760 E 40 Highway, Independence, MO 64055
     Telephone No. 816-350-1473
     I.R.S. No. 43-1031915

Name of M/WBE Firm Parson + Associates, LLC
Address 1518 E. 18th Street, Kansas City, MO 64108
Telephone No. 816-216-6571
I.R.S. No. 33-1169076

Name of M/WBE Firm Taliaferro & Browne, Inc.
Address 1020 E. 8th Street, Kansas City, MO 64106
Telephone No. (816) 283-3456
I.R.S. No. 48-0758891

Name of M/WBE Firm TREKK Design Group
Address 1411 E. 104th St. Kansas City, MO 64131
Telephone No. (816) 874-4655
I.R.S. No. 43-1953275

Name of M/WBE Firm Tech Services To Go Inc. dba TSi Geotechnical Inc.
Address 8248 NW 101st Terrace #5, Kansas City, MO 64153
Telephone No. 816-599-7965
I.R.S. No. 43-1535463

Name of M/WBE Firm 3T-Design and Development, LLC
Address 1838-B E 78th St., Kansas City, MO 64132
Telephone No. 816-516-5977
I.R.S. No. 27-2054953

(List additional M/WBEs, if any, on additional page and attach to this form)

4. The following is a breakdown of the percentage of the total contract amount that Bidder/Proposer agrees to pay to each listed M/WBE:

MBE/WBE BREAKDOWN SHEET

MBE FIRMS:

Name of MBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
Custom Engineering, Inc.	Contractor - Work Completed, Final Payment Made	$ 557,354.91	$ 557,354.91	2.50
Parson + Associates, LLC	Contractor	$ 470,227.38	$ 470,227.38	2.20
Taliaferro and Browne Inc.	Contractor - Work Completed, Final Payment Made	$ 272,257.00	$ 272,257.00	1.20
TSI Geotechnical, Inc.	Contractor - Work Completed, Final Payment Made	$ 132,789.81	$ 132,789.81	0.60
Premier Engineering Consultants, LLC	Contractor	$ 1,594,942.00	$ 1,594,942.00	7.30
Innovative Integrity In Design LLC	Contractor	$ 30,000.00	$ 30,000.00	0.10

TOTAL MBE $ / TOTAL MBE %: $ 3,057,571.10 14.00 %

WBE FIRMS:

Name of WBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
TREKK Design Group	- Work Completed, Final Payment Made	$ 34,081.84	$ 34,081.84	1.60
3T-Design & Development	- Work Completed, Final Payment Made	$ 262,032.42	$ 262,032.42	1.20
Wellner Architects, Inc.	Contractor	$ 1,084,759.00	$ 1,084,759.00	5.00
McCurdy Engineers	Contractor	$ 1,220,968.00	$ 1,220,968.00	5.60
Kansas City Testing & Engineering LLC	Contractor - Work Completed, Final Payment Made	$ 16,303.14	$ 16,303.14	0.10

TOTAL WBE $ / TOTAL WBE %: $ 2,924,144.40 14.30 %

*"Subcontract Amount" refers to the dollar amount that Bidder/Proposer has agreed to pay each M/WBE subcontractor as of the date of contracting and is indicated here solely for the purpose of calculating the percentage that this sum represents in proportion to the total contract amount. Any contract amendments and/or change orders changing the total contract amount may alter the amount due an M/WBE under their subcontract for purposes of meeting or exceeding the Bidder/Proposer participation.

**"Weighted Value" means the portion of the subcontract amount that will be credited towards meeting the Bidder/Proposer participation. See CREO KC Forms and Instructions for allowable credit and special instructions for suppliers.

5. Bidder/Proposer acknowledges that the monetary amount to be paid each listed M/WBE for their work, and which is approved herein, is an amount corresponding to the percentage of the total contract amount allocable to each listed M/WBE as calculated in the MBE/WBE Breakdown Sheet. Bidder/Proposer further acknowledges that this amount may be higher than the subcontract amount listed therein as change orders and/or amendments changing the total contract amount may correspondingly increase the amount of compensation due an M/WBE for purposes of meeting or exceeding the Bidder/Proposer participation

6. Bidder/Proposer acknowledges that it is responsible for considering the effect that any change orders and/or amendments changing the total contract amount may have on its ability to meet or exceed the Bidder/Proposer participation. Bidder/Proposer further acknowledges that it is responsible for submitting a Request for Modification or Substitution if it will be unable to meet or exceed the Bidder/Proposer participation set forth herein.

7. If Bidder/Proposer has not achieved both the M/WBE goal(s) set for this Project, Bidder/Proposer hereby requests a waiver of the MBE and/or WBE goal(s) that Bidder/Proposer has failed to achieve

8. Bidder/Proposer will present documentation of its good faith efforts, a narrative summary detailing its efforts and the reasons its efforts were unsuccessful when requested by the City.

9. I hereby certify that I am authorized to make this Affidavit on behalf of the Bidder/Proposer named below and who shall abide by the terms set forth herein:

Bidder/Proposer primary contact: Carollo Engineers, Inc. Wayne Miller
Address: 903 E. 104th Street, Suite 230
Kansas City, MO 64131
Phone Number: (816) 326-6730
Facsimile number: (816) 326-6701
E-mail Address: wmiller@carollo.com

By: Wayne Miller
Title: Vice President
Date: March 7, 2025
(Attach corporate seal if applicable)

Subscribed and sworn to before me this_____day of_____, 20____.

My Commission Expires: _____ _____
Notary Public

Name of M/WBE Firm Premier Engineering Consultants, LLC
Address 7427 Troost Avenue Kansas City Missouri 64131
Telephone No. 816-444-4137
I.R.S. No. 14-1923746

Name of M/WBE Firm McCurdy Engineers, LLC
Address 9613 W 123rd St., Overland Park, KS 66213
Telephone No. 913-558-8963
I.R.S. No. 27-3210878

Name of M/WBE Firm Kansas City Testing & Engineering LLC
Address 1141 Southwest Boulevard, Kansas City, KS 66103
Telephone No. 913-321-8100
I.R.S. No.

Name of M/WBE Firm Innovative Integrity In Design LLC
Address 6005 Woodland Avenue, Kansas City, KS 64110
Telephone No. 816-447-8250
I.R.S. No.

CITY OF FOUNTAINS
HEART OF THE NATION
KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 8100821-01394

Project Title Blue River WWTP Biosolids Facility Project

Carollo Engineers Inc ("Prime Contractor") agrees to enter into a contractual agreement with Innovative Integrity In Design LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

Quality auditing services for City's verification of Design-Builder's building information modeling (BIM) model and as-built drawings with actual Work performed, and other tasks as assigned

for an estimated amount of $ 30,000.00 or 0.14 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein **contingent upon City approval of Contract Amendment 8 to the contract with the Prime Contractor.**

Signature: Prime Contractor	Signature: M/W/DBE Subcontractor
Lynn Norton	Adiel W. Tauheed
Print Name	Print Name
Vice President 3/4/2025	President 3/4/2025
Title Date	Title Date

Adiel W Tauheed Digitally signed by Adiel W Tauheed DN: C=US, E=awtauheed@i3d.engineering, O=Innovative Integrity In Design LLC, OU=President, CN=Adiel W Tauheed Date: 2025.03.04 00:05:39-06'00'

CITY OF FOUNTAINS
HEART OF THE NATION

KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 8100821-01394

Project Title Blue River WWTP Biosolids Facility Project

Carollo Engineers Inc ("Prime Contractor") agrees to enter into a contractual agreement with Premier Engineering Consultants, LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

Construction Phase Management Services – structural, electrical and mechanical discipline related technical services.

for an estimated amount of $ 1,594,942.00 or 7.3 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein **contingent upon City approval of Contract Amendment 8 to the contract with the Prime Contractor.**

Signature: Prime Contractor

Lynn Norton
Print Name

Vice President
Title

March 7, 2025
Date

Signature: M/W/DBE Subcontractor

RAYMOND OKUAGU
Print Name

President
Title

3/7/25
Date

CITY OF FOUNTAINS
HEART OF THE NATION

KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 8100821-01394

Project Title Blue River WWTP Biosolids Facility Project

Carollo Engineers Inc ("Prime Contractor") agrees to enter into a contractual agreement with McCurdy Engineers, LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

Construction Phase Management Services – Resident Project Representative and related services.

for an estimated amount of $ 1,220,968.00 or 5.6 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein **contingent upon City approval of Contract Amendment 8 to the contract with the Prime Contractor.**

Signature: Prime Contractor	Signature: M/W/DBE Subcontractor
Lynn Norton	Amy L. McCurdy
Print Name	Print Name
Vice President	March 7, 2025	President	3-7-2025
Title	Date	Title	Date

CITY OF FOUNTAINS
HEART OF THE NATION

KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 8100821-01394

Project Title Blue River WWTP Biosolids Facility Project

Carollo Engineers Inc ("Prime Contractor") agrees to enter into a contractual agreement with Parson + Associates, LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

Construction Phase Management Services – public outreach for the project.

for an estimated amount of $ 344,081.84 or 1.6 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein **contingent upon City approval of Contract Amendment 8 to the contract with the Prime Contractor.**

Signature: Prime Contractor

Lynn Norton
Print Name

Vice President
Title

March 7, 2025
Date

Signature: M/W/DBE Subcontractor

Robert "Jason" Parson
Print Name

Pres
Title

10 March 25
Date

CITY OF FOUNTAINS
HEART OF THE NATION
KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 8100821-01394

Project Title Blue River WWTP Biosolids Facility Project

Carollo Engineers Inc ("Prime Contractor") agrees to enter into a contractual agreement with Innovative Integrity In Design LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

Quality auditing services for City's verification of Design-Builder's building information modeling (BIM) model and as-built drawings with actual Work performed, and other tasks as assigned

for an estimated amount of $ 30,000.00 or 0.14 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein **contingent upon City approval of Contract Amendment 8 to the contract with the Prime Contractor.**

Signature: Prime Contractor	Signature: M/W/DBE Subcontractor
Lynn Norton	Adiel W. Tauheed
Print Name	Print Name
Vice President 3/4/2025	President 3/4/2025
Title Date	Title Date

Adiel W Tauheed Digitally signed by Adiel W Tauheed DN: C=US, E=awtauheed@i3d.engineering, O=Innovative Integrity In Design LLC, OU=President, CN=Adiel W Tauheed Date: 2025.03.04 00:05:39-06'00'

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LETTER OF INTENT TO SUBCONTRACT

Project Number 8100821-01394

Project Title Blue River WWTP Biosolids Facility Project

Carollo Engineers Inc ("Prime Contractor") agrees to enter into a contractual agreement with Wellner Architects, Inc. ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

Construction Phase Management Services – architectural and documentation management services.

for an estimated amount of $ 1,084,759.00 or 5.0 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein **contingent upon City approval of Contract Amendment 8 to the contract with the Prime Contractor.**

Signature: Prime Contractor	Signature: M/W/DBE Subcontractor
Lynn Norton	Julie Wellner
Print Name	Print Name
Vice President March 7, 2025	Founder 3/7/2025
Title Date	Title Date