# HRD Contractor Utilization Plan Approval

Date: March 27, 2019

To: Mr. Phillip Yelder
Human Relations Department

From: Melva Brownlee
Smart Sewer Program

Subject: Contract/Project No.: 60810049/1277-1
Project Title: City-Wide Sewer Line Cleaning, Closed Circuit Television (CCTV) Inspection, and Manhole Locating and Opening for OCP Projects Renewal No. 1

**Funding**: ☒ City (MBE/WBE/DBE) ☐ Federal (DBE)[1] ☐ State (DBE)[1] - Grant _____
☐ Other:

**Contract Category**: ☐ Construction ☐ General Service ☐ Facility Repair
☐ Design Professional ☒ Professional Services ☐ Concession ☐ Other:

**Bid/Proposal Closing Date:** 02/18/2016 | **No. of Bidders/Proposers:** 02

**Recommended Bid/Proposal[2]:** $3,095,573.76

**Company:** Ace Pipe Cleaning Inc
**Contact:** Bruce Vantine
**Contact Email:** bvantine@acepipe.com

**Address:** 6601 Universal Avenue, Kansas City, MO 64120
**Phone:** 816-241-2891
**Fax:** 816-241-5054

**Additional Information:**
This is a renewal contract, please reference original Contract No. 1277.

cc: Project Manager, Nicki Chestnut, Taliaferro & Browne, nchestnut@tb-engr.com; 816.283.3456 ext. 129

**FOR HUMAN RELATIONS DEPARTMENT USE ONLY**
**The attached Contractor Utilization Plan is:**

☒ Approved

☐ Disapproved

**The Request for Best Faith Efforts Waiver is:**
☐ Approved

☐ Disapproved

☐ Not Applicable

Contractor Utilization Plan Participation: 15 % MBE & 10 % WBE or ____ % DBE

Human Relations Department

Date 04/15/19

**FOR GRANTING AGENCY USE ONLY**[3] ☐ N/A
Approved by:

Date

[1] DBE Programs apply to specific federal or state grant requirements.
[2] The dollar figure here should match the approved Bid/Proposal recommendation or amendment preparation checklist on file with the requesting department.
[3] Federal and state grant agreements may require granting agency approval of contract goals.

**Inter-Departmental Communication**

Date: April 15, 2019

To: Jermaine Reed, Chair: Transportation & Infrastructure Committee

From: Phillip Yelder Director Human Relations Department

Subject: Docket Memo #

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| | |
|---|---|
| **CONTRACTOR**: | Ace Pipe Cleaning, Inc. |
| Address: | 6601 Universal Ave.<br>Kansas City, MO 64120 |
| Contract # | 1277-1 / 60810049 – City Wide Sewer Line Cleaning, Closed Circuit Television (CCTV) Inspections, and Manhole Locating & Opening for OCP Projects, Renewal 1 |
| Contract Amount: | $3,095,573.76 |
| MBE Goal | 15% |
| WBE Goal: | 10% |
| Total MBE Achieved: | 15% |
| Total WBE Achieved: | 10% |

**MBE SUBCONTRACTORS:**

| | |
|---|---|
| Name: | Four Star Construction Contractor, LLC |
| Address: | 1734 E. 63rd St., Suite 508<br>Kansas City, MO 64110 |
| Scope of Work: | Clearing & Grubbing |
| Dollar Amount: | $30,955 |
| Ownership: | Woods, Osborn T. |
| Structure: | African-American Male Code 15 |

**MBE SUBCONTRACTORS:**

| | |
|---|---|
| Name: | SE3, LLC |
| Address: | 230 SW Main St., Suite 213<br>Lee's Summit, MO 64063 |
| Scope of Work: | CCTV Inspections |
| Dollar Amount: | $30,955 |
| Ownership: | Stewart, Vernal |
| Structure: | African-American Male Code 15 |

**MBE SUBCONTRACTORS:**

| | |
|---|---|
| Name: | Elite Root Control, LLC |
| Address: | 6220 Blue Ridge Cutoff, Suite 300<br>Raytown, MO 64133 |
| Scope of Work: | Sewer Cleaning / CCTV Inspections |
| Dollar Amount: | $340,513 |
| Ownership: | Gardner, Quentin |
| Structure: | African-American Male Code 15 |

**MBE SUBCONTRACTORS:**

| | |
|---|---|
| Name: | Blue Nile Contractors, Inc. |
| Address: | 601 Spratley Ave.<br>Birmingham, MO 64161 |
| Scope of Work: | Sewer Cleaning / CCTV Inspectons |
| Dollar Amount: | $61,911 |
| Ownership: | Gardner, Quentin |
| Structure: | African-American Male Code 15 |

WBE

**~~MBE~~ SUBCONTRACTORS:**

| | |
|---|---|
| Name: | Trekk Design Group, LLC |
| Address: | 1411 E. 104th St.<br>Kansas City, MO 64131 |
| Scope of Work: | CCTV Inspections, Sewer Cleaning, Manhole Locating |
| Dollar Amount: | $309,557 |
| Ownership: | Robinett, Kimberly |
| Structure: | Caucasian Female Code 27 |

**Comments:**
This is the first renewal of contract #1277.

# CONTRACTOR UTILIZATION PLAN/REQUEST FOR WAIVER

Project Number: 60810049

Project Title: City-Wide Sewer Line Cleaning, Closed Circuit Television (CCTV) Inspection, and Manhole Locating and Opening for OCP Projects Renewal No. 1

(Department Project) Department

_Ace Pipe Cleaning, Inc.
(Bidder/Proposer)

STATE OF __Missouri_____ )
) ss
COUNTY OF __Jackson_____ )

I, ___Bruce Vantine___, of lawful age and upon my oath state as follows:

1. This Affidavit is made for the purpose of complying with the provisions of the MBE/WBE submittal requirements on the above project and the MBE/WBE Program and is given on behalf of the Bidder/Proposer listed below. It sets out the Bidder/Proposer's plan to utilize MBE and/or WBE contractors on the project.

2. The project goals are ___**15**_____ **% MBE** and ___**10**____ **% WBE.** Bidder/Proposer assures that it will utilize a minimum of the following percentages of MBE/WBE participation in the above project:

**BIDDER/PROPOSER PARTICIPATION:____15__% MBE __10___% WBE**

3. The following are the M/WBE subcontractors whose utilization Bidder/Proposer warrants will meet or exceed the above-listed Bidder/Proposer Participation. Bidder/Proposer warrants that it will utilize the M/WBE subcontractors to provide the goods/services described in the applicable Letter(s) of Intent to Subcontract, copies of which shall collectively be deemed incorporated herein). ***(All firms must currently be certified by Kansas City, Missouri)***

   a. Name of M/WBE Firm __TREKK Design Group LLC
   Address _1441 E 104th Street, Ste 105 Kansas City, MO 64131
   Telephone No. _816-874-4655
   I.R.S. No. _43-1953275

b. Name of M/WBE Firm __Elite Root Control, LLC
Address __6220 Blue Ridge Cutoff Suite 300, Raytown, MO 64133
Telephone No. ___816-923-1744
I.R.S. No. _45-4702859

c. Name of M/WBE Firm ___SE3 LLC
Address __230 SW Main Street, Suite 213, Lee's Summit, MO 64063
Telephone No. __816-272-5545
I.R.S. No. ___20-1307980

d. Name of M/WBE Firm __Four Star Construction, LLC
Address _1734 E 63rd St, Ste 508, Kansas City, MO 64110
Telephone No. ___816-921-9661
I.R.S. No.

e. Name of M/WBE Firm
Address
Telephone No.
I.R.S. No.

f. Name of M/WBE Firm
Address
Telephone No.
I.R.S. No.

*(List additional M/WBEs, if any, on additional page and attach to this form)*

4. The following is a breakdown of the percentage of the total contract amount that Bidder/Proposer agrees to pay to each listed M/WBE:

**MBE/WBE BREAKDOWN SHEET**

**MBE FIRMS:**

| Name of MBE Firm | Supplier/Broker/Contractor | Subcontract Amount* | Weighted Value** | % of Total Contract |
|---|---|---|---|---|
| _Elite | __Contractor | __13% | __87% | __13%_ |
| _SE3 | __Contractor | __1% | __6.66% | _1%__ |
| _Four Star | __Contractor | __1% | _6.66% | _1%__ |
| | | | | |

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**TOTAL MBE $ / TOTAL MBE %:** $__TBD_____ __15__%

**WBE FIRMS:**

| Name of WBE Firm | Supplier/Broker/Contractor | Subcontract Amount* | Weighted Value** | % of Total Contract |
|---|---|---|---|---|
| TREKK | __Contractor | 10%____ | _100%__ | __10%_ |
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**TOTAL WBE $ / TOTAL WBE %:** $__TBD____ __10__%

*"Subcontract Amount" refers to the dollar amount that Bidder/Proposer has agreed to pay each M/WBE subcontractor as of the date of contracting and is indicated here solely for the purpose of calculating the percentage that this sum represents in proportion to the total contract amount. Any contract amendments and/or change orders changing the total contract amount may alter the amount due an M/WBE under their subcontract for purposes of meeting or exceeding the Bidder/Proposer participation.

**"Weighted Value" means the portion of the subcontract amount that will be credited towards meeting the Bidder/Proposer participation. See HRD Forms and Instructions for allowable credit and special instructions for suppliers.

5. Bidder/Proposer acknowledges that the monetary amount to be paid each listed M/WBE for their work, and which is approved herein, is an amount corresponding to the percentage of the total contract amount allocable to each listed M/WBE as calculated in the MBE/WBE Breakdown Sheet. Bidder/Proposer further acknowledges that this amount may be higher than

the subcontract amount listed therein as change orders and/or amendments changing the total contract amount may correspondingly increase the amount of compensation due an M/WBE for purposes of meeting or exceeding the Bidder/Proposer participation

6. Bidder/Proposer acknowledges that it is responsible for considering the effect that any change orders and/or amendments changing the total contract amount may have on its ability to meet or exceed the Bidder/Proposer participation. Bidder/Proposer further acknowledges that it is responsible for submitting a Request for Modification or Substitution if it will be unable to meet or exceed the Bidder/Proposer participation set forth herein.

7. If Bidder/Proposer has not achieved both the M/WBE goal(s) set for this Project, Bidder/Proposer hereby requests a waiver of the MBE and/or WBE goal(s) that Bidder/Proposer has failed to achieve

8. **Bidder/Proposer will present documentation of its good faith efforts, a narrative summary detailing its efforts and the reasons its efforts were unsuccessful when requested by the City.**

9. **I hereby certify that I am authorized to make this Affidavit on behalf of the Bidder/Proposer named below and who shall abide by the terms set forth herein:**

**Bidder/Proposer primary contact: Ace Pipe Cleaning, Inc. – Bruce Vantine**
**Address:** 6601 Universal Ave
Kansas City, MO 64120
**Phone Number:** 816-241-2891
**Facsimile number:** 816-241-5054
**E-mail Address:** bvantine@acepipe.com

**By:** Bru Vant
**Title:** Secretary/Treasurer
**Date:** 3/14/2019
**(Attach corporate seal if applicable)**

Subscribed and sworn to before me this 14th day of March, 2019.

My Commission Expires: 10/6/2019

Notary Public

THERESA A. CALVERT
Comm. Exp. 10-06-19
NOTARY PUBLIC
NOTARY SEAL
#15375237
Jackson County
STATE OF MISSOURI

# LETTER OF INTENT TO SUBCONTRACT

Project Number: 60810049

Project Title: City-Wide Sewer Line Cleaning, Closed Circuit Television (CCTV) Inspection, and Manhole Locating and Opening for OCP Projects Renewal No. 1

_____Ace Pipe Cleaning, Inc._____ ("Prime Contractor") agrees to enter into a contractual agreement with _____TREKK Design Group, LLC_____ ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

__Sewer Cleaning, CCTV Inspection of Sewers and Manhole Locating_____

for an estimated amount of $____TBD_____ or _____10_____% of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, **contingent upon award of the contract to Prime Contractor**.

| Ace Pipe Cleaning, Inc. | TREKK Design Group LLC |
|---|---|
| Signature: Prime Contractor | Signature: M/W/DBE Subcontractor |
| _Bruce Vantine_ | LUKAS GILLEN |
| Print Name | Print Name |
| _Secretary/Treasurer_ 2/28/19 | ASSOCIATE 2/27/19 |
| Title Date | Title Date |

# LETTER OF INTENT TO SUBCONTRACT

Project Number: 60810049

Project Title: City-Wide Sewer Line Cleaning, Closed Circuit Television (CCTV) Inspection, and Manhole Locating and Opening for OCP Projects Renewal No. 1

_____Ace Pipe Cleaning, Inc_____ ("Prime Contractor") agrees to enter into a contractual agreement with ____Elite Root Control_____ ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

__Sewer Cleaning_____

for an estimated amount of $___TBD__ or _____13_____% of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, **contingent upon award of the contract to Prime Contractor**.

Ace Pipe Cleaning, Inc.

Bruce Vantine
Signature: Prime Contractor

_Bruce Vantine_____
Print Name

_Secretary/Treasurer_____ 3-14-19
Title Date

Elite Root Control

Quentin Gardner
Signature: M/W/DBE Subcontractor

Quentin Gardner
Print Name

CEO | President 3-14-19
Title Date

# LETTER OF INTENT TO SUBCONTRACT

Project Number: 60810049

Project Title: City-Wide Sewer Line Cleaning, Closed Circuit Television (CCTV) Inspection, and Manhole Locating and Opening for OCP Projects Renewal No. 1

_____Ace Pipe Cleaning, Inc._____ ("Prime Contractor") agrees to enter into a contractual agreement with _____SE3, LLC_____ ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

___CCTV Inspections_____

for an estimated amount of $___TBD_____ or _____1_____% of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, **contingent upon award of the contract to Prime Contractor**.

| | |
|---|---|
| Ace Pipe Cleaning, Inc. | SE3, LLC |
| Bru Vant | |
| Signature: Prime Contractor | Signature: M/W/DBE Subcontractor |
| _Bruce Vantine | Vernal Stewart |
| Print Name | Print Name |
| _Secretary/Treasurer 3-14-19 | President 03/14/2019 |
| Title Date | Title Date |

KANSAS CITY

# LETTER OF INTENT TO SUBCONTRACT

Project Number: 60810049

Project Title: City-Wide Sewer Line Cleaning, Closed Circuit Television (CCTV) Inspection, and Manhole Locating and Opening for OCP Projects Renewal No. 1

_____Ace Pipe Cleaning, Inc._____ ("Prime Contractor") agrees to enter into a contractual agreement with __Four Star Construction_____ ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

__Clearing and Grubbing_____

for an estimated amount of $____TBD_____ or ___1__% of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, **contingent upon award of the contract to Prime Contractor.**

Ace Pipe Cleaning, Inc.

Bruce Vantine

Signature: Prime Contractor

_Bruce Vantine_____
Print Name

_Secretary/Treasurer_____ 3-21-19
Title Date

Four Star Construction

Signature: M/W/DBE Subcontractor

Deborah Woods
Print Name

Manager 3-20-19
Title Date