CONTRACTOR UTILIZATION PLAN/REQUEST FOR WAIVER

240739

Project Num	ber 80002270	CANNATA D. 14 141 Ct D.16
Project Title	Avenue to Jefferson Street	ea of NW Harlem Road to 14th Street, Baltimore
	Water Main Replacement	KCWater
	(Department Project)	Department
	Tetra Tech, Inc.	
100000000000000000000000000000000000000	(Bidder/Proposer)	** 1848
STATE OF _	Missouri)	
COUNTY O	F Jackson) ss	
I,follows:	John Brummer ,	of lawful age and upon my oath state as
submittate behalf or MBE and 2. The projection assures	I requirements on the above project of the Bidder/Proposer listed below. It d/or WBE contractors on the project.	and the MBE/WBE Program and is given on sets out the Bidder/Proposer's plan to utilize and 7 % WBE. Bidder/Proposer's the following percentages of MBE/WBE
BIDI	DER/PROPOSER PARTICIPATION	N:15.7% MBE12.8% WBE
will mee warrants described collective	et or exceed the above-listed Bidd that it will utilize the M/WBE so I in the applicable Letter(s) of Int	s whose utilization Bidder/Proposer warrants ler/Proposer Participation. Bidder/Proposer abcontractors to provide the goods/services tent to Subcontract, copies of which shall (All firms must currently be certified by

b.	Name of M/WBE Firm	
		1020 E 8th Street, Kansas City, MO 64106
	Telephone No.	
	I.R.S. No.	48-0758891
c.	Name of M/WBE Firm	TSi Geotechnical, Inc.
	Address	1322 Adams Street
	Telephone No.	913-749-4010
	I.R.S. No.	
d.	Name of M/WBE Firm	TREKK Design Group LLC
	Address	
	Telephone No.	
	I.R.S. No.	
e.	Name of M/WBE Firm	
	Address	
	I.R.S. No.	
f.		
•	Address	
	Telephone No	
	IRS No	
	1.11.0.110.	

(List additional M/WBEs, if any, on additional page and attach to this form)

4. The following is a breakdown of the percentage of the total contract amount that Bidder/Proposer agrees to pay to each listed M/WBE:

MBE/WBE BREAKDOWN SHEET

MBE FIRMS:

Name of MBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
Taliaferro & Browne	Contractor	\$69,000	\$69,000	14.7%
TSi Geotechnical, Inc.	Contractor	\$5,000	\$5,000	1.0%

	***************************************		-	
TOTAL MBE \$ / TOTAL	MBE %:	\$74,000	-	
WBE FIRMS:		Subcontract	Weighted	% of Total
Name of WBE Firm	Supplier/Broker/Contractor	Amount*	Value**	Contract
Environmental Advisors and Engineers, Inc.	Contractor	\$15,000	\$15,000	3.2%
TREKK Design Group LLC	Contractor	\$45,220	\$45,220	9.6%
***************************************			- WASHING A L	******
		W		
TOTAL WBE \$ / TOTAL	WBE %:	\$ 60,220		12.8_%

^{*&}quot;Subcontract Amount" refers to the dollar amount that Bidder/Proposer has agreed to pay each M/WBE subcontractor as of the date of contracting and is indicated here solely for the purpose of calculating the percentage that this sum represents in proportion to the total contract amount. Any contract amendments and/or change orders changing the total contract amount may alter the amount due an M/WBE under their subcontract for purposes of meeting or exceeding the Bidder/Proposer participation.

5. Bidder/Proposer acknowledges that the monetary amount to be paid each listed M/WBE for their work, and which is approved herein, is an amount corresponding to the percentage of the total contract amount allocable to each listed M/WBE as calculated in the MBE/WBE Breakdown Sheet. Bidder/Proposer further acknowledges that this amount may be higher than

^{**&}quot;Weighted Value" means the portion of the subcontract amount that will be credited towards meeting the Bidder/Proposer participation. See HRD Forms and Instructions for allowable credit and special instructions for suppliers.

the subcontract amount listed therein as change orders and/or amendments changing the total contract amount may correspondingly increase the amount of compensation due an M/WBE for purposes of meeting or exceeding the Bidder/Proposer participation

- 6. Bidder/Proposer acknowledges that it is responsible for considering the effect that any change orders and/or amendments changing the total contract amount may have on its ability to meet or exceed the Bidder/Proposer participation. Bidder/Proposer further acknowledges that it is responsible for submitting a Request for Modification or Substitution if it will be unable to meet or exceed the Bidder/Proposer participation set forth herein.
 - 7. If Bidder/Proposer has not achieved both the M/WBE goal(s) set for this Project, Bidder/Proposer hereby requests a waiver of the MBE and/or WBE goal(s) that Bidder/Proposer has failed to achieve
- 8. Bidder/Proposer will present documentation of its good faith efforts, a narrative summary detailing its efforts and the reasons its efforts were unsuccessful when requested by the City.
- 9. I hereby certify that I am authorized to make this Affidavit on behalf of the Bidder/Proposer named below and who shall abide by the terms set forth herein:

Kansas City, MO 64106

415 Oak Street

Phone Number:	816-412-1769	
Facsimile number:	816-410-1748	
E-mail Address:	john.brummer@te	etratech.com
	By: Title: Date:	Senior Project Manager
	Page 100 April 1	4/21/21
	(Attach corp	orate seal if applicable)
Subscribed and	I sworn to before me this $2/$	day of $April$, $202/$
My Commission Expir	res: $\frac{7/29/2021}{}$	Kars. Bollinger
		Notary Public
	KAREN S. BOLLINGER Notary Public – Notary Seal Platte County – State of Missouri Commission Number 13464098 My Commission Expires Jul 29, 2021	

Bidder/Proposer primary contact: John Brummer

Address:

CITY OF FOUNTAINS HEART OF THE NATION KANSAS CITY

LETTER OF INTENT TO SUBCONTRACT

Check one:
Original LOI:

Project Name/Title WMR in the area of NW Harlem Rd. to 14th St.,

Baltimore Avenue to Jefferson St.

Project Location/Number 80002270/9589

provided. Broad	M/W/DBE/Sect in connection wi d Categorizations s certified are ins	Tetra Tech, Inc ion 3 Subcontractor TREK th the above-reference cont (e.g., "electrical," "plumbi ufficient and may result in Surveying Services	ract: [Insert a brief na	rrative describing	ide the following goods/services to be
		53.15,36171065			
for an estimated	amount of \$	45,220 (or	9.6 % of the total e	stimated contract	value)
M/WBE Vendo		Subcontractor/manufacture Supplier (counts as 60% of contractor for supplies or g Broker (counts as 10% of the contractor for supplies or go	er (counts as 100% of the total dollar amou oods towards goals) he total dollar amount	contract value tow nt paid or to be pa	vards goals) id by a prime
utilize M/W/DB	uman Relations I E Subcontractor	tor is, to the best of Prime (Department to perform in the in the capacities indicated I capacities indicated herein,	te capacities indicated the capacities in the capacities and M/W/DBI	herein. Prime Co	ntractor agrees to
PART 2: This as needed for mo	s section is to be ore than one inter r remedies avail The M/W/DB	completed by the M/W/DE aded sub-tier contract. IMP able under City Code. E Subcontractor listed above	BE subcontractor lister ORTANT: Falsifica	d above. Please att	tach additional sheets ment will result in
	scope of work	(s). (Continue to Part 3.) E Subcontractor listed above			
(1) Company	name:				
Full addre					
Primary c	Street nur ontact:	nber and name	City, State	and Zip Code	-
a) This si	Name abcontractor is (circle one): MBE WB	Phone BE DBE N/A		
i	: If this subcontr	actor is an M/W/DBE certificattached to this document.		Cansas City, Misso	ouri, a separate Letter
ii fi	. If this subcontrirm must still be	actor is NOT a certified Mallisted for reporting purpose	W/DBE certified with s but a Letter of Inten	h the City of Kans	as City, Missouri, the
		be performed:			
		of this agreement is:			

NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI); $\underline{SIGNATURES\ ONLY}\ FOR\ UPDATED\ LOI\ (ADDING\ VALUE\ TO\ EXISTING\ CONTRACT).$

PRIME CONTRACTOR BUSINESS NAME:	Tetra Tech, Inc.
Den Breene	John Brummer
Signature: Prime Contractor	Print Name
Sr. Project Manager	05/14/21
Title	Date
State of Missouri	
County of Jackson)	
I, Karen Bollinger, sta	te that the above and foregoing is based on my best knowledge
Subscribed and sworn to before me day of May, 20 21	, a notary public, on this 14th
My Commission Expires: 7-29	
STAMP: KAREN S. BOLLINGER Notary Public – Notary Seal Platte County – State of Missouri Commission Number 13464098 My Commission Expires Jul 29, 2021	Notary Public
MWDBE SUBCONTRACTOR BUSINESS NAME Signature: Prime Contractor	TREKK Design Group, LLC. LUCAS GZLLEN Print Name
ASSOCZATE	5/17/21
Title	Date
State of Missour?	
County of Jaykson)	
. 12 1	e that the above and foregoing is based on my best knowledge
Subscribed and sworn to before me, day of mag, 2021	a notary public, on this 17
My Commission Expires: $4/3$	
STAMP:	Notary Public
LINDAS DODSON My Commission Expires June 30, 2022 Jackson County Commission #14991560	

CITY OF FOUNTAINS HEART OF THE NATION KANSAS CITY

LETTER OF INTENT TO SUBCONTRACT

Check one:
Original LOI:

Project Name/Title WMR in the area of NW Harlem Rd. to 14th St.,

Baltimore Avenue to Jefferson St.

Project Location/Number 80002270/9589

PA	ART I	Prime Cor	tractor	Tetra Tech, In	nc		20TOOS to optovinto	
agr goo pro	eement ds/serv vided. I	with M/W/I rices in conn Broad Catego ctor is certific	DBE/Section worization are in	ction 3 Subcontractor with the above-reference as (e.g., "electrical," "properties of the sufficient and may reservices	ce contract: [Insolumbing," etc.)	Browne, Inc. ert a brief nar	rative describing god	the following ods/services to be
-								
for	an estin	nated amoun	t of \$_	69,000	(or <u>14.6</u> % o	of the total est	imated contract valu	e.)
M/\	VBE V	endor type:	X	Subcontractor/manustractor (counts as 6 contractor for supplied Broker (counts as 10 contractor for supplied contractor for supplied contractor for supplied	facturer (counts 50% of the total es or goods town % of the total do	as 100% of c dollar amoun ards goals) ollar amount p	ontract value toward t paid or to be paid b	s goals) y a prime
utili: abov	ze M/W re-refere	//DBE Subco	entractors ontractor of in the	ctor is, to the best of P Department to perform r in the capacities indicated he capacities indicated h	m in the capacit cated herein, an nerein, continger	ies indicated l d M/W/DBE nt upon award	nerein. Prime Contra Subcontractor agrees I of the contract to P	ctor agrees to s to work on the rime Contractor.
MC IIC	cucu IC	n more man	one mig	e completed by the M/ended sub-tier contract ilable under City Coo	LIMPORTAN	tractor listed Falsificati	above. Please attach on of this documen	additional sheets t will result in
Selec	et one:	The N scope	1/W/DE of worl	BE Subcontractor listed (s). (Continue to Part	d above <u>IS NOT</u> 3.)	subcontracti	ng any portions of th	ne above-stated
		The N of wo	1/W/DE	BE Subcontractor listed	d above <u>IS</u> subc	ontracting cer	tain portions of the a	above stated scope
1)	Com	pany name:				,		
	Full a	address:						
	Prima	ary contact:		mber and name		City, State ar	nd Zip <mark>C</mark> ode	National Control of the Control of t
	a) Th	nis subcontra	lame ctor is (circle one): MBE	WBE DBE	Phone N/A		
		i: If this	ubconti	ractor is an M/W/DBE attached to this docum	certified with t		nsas City, Missouri,	a separate Letter
		ii. If this firm mus	subcont still be	pactor is NOT a certific listed for reporting pu	ed M/W/DBE c rposes but a Le	ertified with t	he City of Kansas C s not required.	ity, Missouri, the
	b)	Scope of	work to	be performed:				
	c)	The dolla	value	of this agreement is:				

CITY OF FOUNTAINS

LETTER OF INTENT TO SUBCONTRACT

111	יור (m)		Check one:
4	Project Name/Title WMR in the area o	CNIW II. I. D. 1 . 44.1 C	Original LOI:
	Baltimore Avenue	f NW Harlem Rd. to 14th St.,	
	Project Location/Number 80002270/0	589	Updated LOI:
agree good provi Subc	Prime Contractor Tetra Tech, Inc Environmental A. and Engineers. In s/services in connection with the above-reference contract: [Inser ded. Broad Categorizations (e.g., "electrical," "plumbing," etc.) of ontractor is certified are insufficient and may result in denial of the Project Administration, Envision Support, Util	agrees to enter into a condition who will provide the tabrief narrative describing good or the listing of NAICS Codes in whis Letter of Intent to Subcontract, ity Coordination Services	e following s/services to be thich M/W/DBE
M/W	BE Vendor type: X Subcontractor/manufacturer (counts a Supplier (counts as 60% of the total docontractor for supplies or goods toward Broker (counts as 10% of the total docontractor for supplies or goods toward contractor for supplies or goo	ollar amount paid or to be paid by ds goals) lar amount paid or to be paid by a	goals) a prime
utiliza above PAR as nee	scope of work(s). (Continue to Part 3.)	s indicated herein. Prime Contract M/W/DBE Subcontractor agrees to t upon award of the contract to Prime ractor listed above. Please attach a : Falsification of this document subcontracting any portions of the	or agrees to to work on the me Contractor. dditional sheets will result in
(1)	The M/W/DBE Subcontractor listed above <u>IS</u> subconf work(s) to: Company name:	ntracting certain portions of the ab	ove stated scope
v130			V
	Full address: Street number and name Primary contact:	City, State and Zip Code	n
	Name a) This subcontractor is (circle one): MBE WBE DBE	Phone N/A	
	 i: If this subcontractor is an M/W/DBE certified with the of Intent must be attached to this document. 	e City of Kansas City, Missouri, a	separate Letter

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the

firm must still be listed for reporting purposes but a Letter of Intent is not required.

The dollar value of this agreement is:

Scope of work to be performed:

b)

c)

NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI); $\underline{SIGNATURES\ ONLY}\ FOR\ UPDATED\ LOI\ (ADDING\ VALUE\ TO\ EXISTING\ CONTRACT).$

PRIME CON	TRACTOR BUSINESS NAME: Teta	ra Tech, Inc.	- P
Signature: Prid	e Contractor	John Brun Print Name	amer
Title Sr. Proje	ect Manager	05/14/21 Date	
State of Mi.	ssouri)		
County of J	ackson)		
1, <u>/</u> and be	CHOI.		d foregoing is based on my best knowledge
	Subscribed and sworn to before me, a neday of May, 2021	otary public, on	this / Yth
STAMP.	My Commission Expires: 7-29-	2021	Kand Boldinger Notary Public
DIAME	KAREN S. BOLLINGER Notary Public – Notary Seal Platte County – State of Missouri Commission Number 13464098 My Commission Expires Jul 29, 2021		
Signature Prime	CONTRACTOR BUSINESS NAME: (R. Bleins) e Contractor es i dent		al Advisors and Engineers, Inc. R. Bresma 14/2021
State of Ka	nsas)		
County of J			
I, Lit	nda Lozano - Dickerson state that lief.	at the above and	foregoing is based on my best knowledge
	Subscribed and sworn to before me, a no day of 144h, , 2021	tary p <mark>u</mark> blic, on t	his
STAMP:	My Commission Expires: 9-26	- 2021	Londa-Lozano-Dichecson Notary Public
	LINDA LOZANO-DICKERSON Notary Public, State of Kansas My Appointment Expires		

NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI); $\underline{SIGNATURES\ ONLY}\ FOR\ UPDATED\ LOI\ (ADDING\ VALUE\ TO\ EXISTING\ CONTRACT).$

PRIME CO	NTRACTOR BUSINESS NAME:Te	etra Tech, Inc.
	Den Brunn	John Brummer
Signature: Pp	me Contractor	Print Name
	oject Manager	05/14/21
Title		Date
State of M	,	
County of	Tackson)	
I, <u>k</u> and	belief.	that the above and foregoing is based on my best knowledge
	Subscribed and sworn to before me, a day of May, 2021	notary public, on this / 4 fl
	My Commission Expires: 7-29	2021 Las Bolling
STAMP:		Notary Public
	KAREN S. BOLLINGER Notary Public – Notary Seal	
	Platte County – State of Missouri Commission Number 13464098	
	My Commission Expires Jul 29, 2021	
0)	
MWUSE SU	BCONTRACTOR BUSINESS NAME: _	Taliaferro and Browne, Inc.
J/90	Fund	HAGOS E. ANDEBRHAN
Signature Prin	me Contractor	Print Name
CE	O	05 14 2021
Title		Date
State of N	lissouri)	
County of 👃	JACKSON)	
I,E and b	elief. state th	hat the above and foregoing is based on my best knowledge
	Subscribed and sworn to before me, a n day of May, 20 21	notary public, on this 144h
CTAMD.	My Commission Expires: <u>03-07-</u>	Notary Public 4 Sayley
STAMP:	FARTHA L TAVIO	a
	State of Missouri	18
	Commission # 9345000	
	Mission Expires 03-07-2022	1

CITY OF FOUNTAINS REART OF THE NATION

LETTER OF INTENT TO SUBCONTRACT

Check one: Original LOI: Project Name/Title WMR in the area of NW Harlem Rd. to 14th St., Baltimore Avenue to Jefferson St. Updated LOI: Project Location/Number 80002270/9589 PART I: Prime Contractor Tetra Tech, Inc agrees to enter into a contractual agreement with M/W/DBE/Section 3 Subcontractor TSi Geotechnical, Inc. who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.] Geotechnical Services for an estimated amount of \$ 5.000 (or 1.0 % of the total estimated contract value.) M/WBE Vendor type: Subcontractor/manufacturer (counts as 100% of contract value towards goals) Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals) Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals) M/W/DBE/Section 3 Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor, PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code. The M/W/DBE Subcontractor listed above IS NOT subcontracting any portions of the above-stated Select one: scope of work(s). (Continue to Part 3.) The M/W/DBE Subcontractor listed above IS subcontracting certain portions of the above stated scope of work(s) to: (1)Company name: Full address: Street number and name City, State and Zip Code

Phone

N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the

MBE WBE DBE

firm must still be listed for reporting purposes but a Letter of Intent is not required.

00450.01 HRD Letter of Intent to Subcontract 06162020

Scope of work to be performed: The dollar value of this agreement is:

Name

of Intent must be attached to this document.

a) This subcontractor is (circle one):

Primary contact:

b)

c)

NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI); SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).

PRIME CONTRACTOR BUSINESS NAME:	Tetra Tech, Inc.
Jan Brun	John Brummer
Signature: Prime Contractor	Print Name
Sr. Project Manager	05/14/21
Title	Date
State of Missouri	
County of Jackson	
,	
and belief.	te that the above and foregoing is based on my best knowledge
Subscribed and sworn to before me, day of May , 2021	a notary public, on this / 4 +4
My Commission Expires: $7-2^{\circ}$	7-2021 Ka S Bollyn Notary Public
STAMP:	and the same of th
KAREN S. BOLLINGER Notary Public – Notary Seal Platte County – State of Misso Commission Number 134640	puri 198
My Commission Expires Jul 29,	2021
MWDBY SUBCONTRACTOR BUSINESS NAME	- TSi Geotechnical Services
Morin E. Huryf Signature: Prime Contractor	Morris E. Herrey, Sr.
Piesident U	5/11/2-21
Title	5/14/2021 Date
State of M:ssour:	
County of St. Louis	
I. Marcis E. Herrey, Jr., President state	e that the above and foregoing is based on my best knowledge
Subscribed and sworn to before me, day of May, 2021	
My Commission Expires: 1/2/2	Lozz ferral C. Lederna
STAMP:	
JENNIFER A LEDERMAN Notary Public, Notary Seal State of Missouri St. Louis County Commission # 18009906 My Commission Expires 01-02-2022	