

CONTRACTOR UTILIZATION PLAN/REQUEST FOR WAIVER

240739

Project Number 80002270
Water Main Replacements in the Area of NW Harlem Road to 14th Street, Baltimore
Project Title Avenue to Jefferson Street

Water Main Replacement KCWater
(Department Project) Department
Tetra Tech, Inc.
(Bidder/Proposer)

STATE OF Missouri)
COUNTY OF Jackson) ss

I, John Brummer, of lawful age and upon my oath state as follows:

- 1. This Affidavit is made for the purpose of complying with the provisions of the MBE/WBE submittal requirements on the above project and the MBE/WBE Program and is given on behalf of the Bidder/Proposer listed below. It sets out the Bidder/Proposer’s plan to utilize MBE and/or WBE contractors on the project.
- 2. The project goals are 11 % MBE and 7 % WBE. Bidder/Proposer assures that it will utilize a minimum of the following percentages of MBE/WBE participation in the above project:

BIDDER/PROPOSER PARTICIPATION: 15.7 % MBE 12.8 % WBE

- 3. The following are the M/WBE subcontractors whose utilization Bidder/Proposer warrants will meet or exceed the above-listed Bidder/Proposer Participation. Bidder/Proposer warrants that it will utilize the M/WBE subcontractors to provide the goods/services described in the applicable Letter(s) of Intent to Subcontract, copies of which shall collectively be deemed incorporated herein). *(All firms **must currently be certified by Kansas City, Missouri**)*

a. Name of M/WBE Firm Environmental Advisors and Engineers, Inc.
Address 19211 W 64th Terrace, Shawnee, KS 66218
Telephone No. 913-599-4326
I.R.S. No. 43-1806626

- b. Name of M/WBE Firm Taliaferro & Browne
 Address 1020 E 8th Street, Kansas City, MO 64106
 Telephone No. 816-283-3456
 I.R.S. No. 48-0758891
- c. Name of M/WBE Firm TSi Geotechnical, Inc.
 Address 1322 Adams Street
 Telephone No. 913-749-4010
 I.R.S. No. 43-1535463
- d. Name of M/WBE Firm TREKK Design Group LLC
 Address 1441 E 104TH St Ste 105 Kansas City, MO 64131
 Telephone No. 913-749-4010
 I.R.S. No. 43-1953275
- e. Name of M/WBE Firm _____
 Address _____
 Telephone No. _____
 I.R.S. No. _____
- f. Name of M/WBE Firm _____
 Address _____
 Telephone No. _____
 I.R.S. No. _____

(List additional M/WBEs, if any, on additional page and attach to this form)

4. The following is a breakdown of the percentage of the total contract amount that Bidder/Proposer agrees to pay to each listed M/WBE:

MBE/WBE BREAKDOWN SHEET

MBE FIRMS:

Name of MBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
<u>Taliaferro & Browne</u>	<u>Contractor</u>	<u>\$69,000</u>	<u>\$69,000</u>	<u>14.7%</u>
<u>TSi Geotechnical, Inc.</u>	<u>Contractor</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>1.0%</u>
_____	_____	_____	_____	_____

the subcontract amount listed therein as change orders and/or amendments changing the total contract amount may correspondingly increase the amount of compensation due an M/WBE for purposes of meeting or exceeding the Bidder/Proposer participation

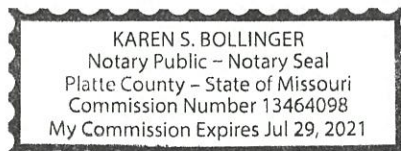
6. Bidder/Proposer acknowledges that it is responsible for considering the effect that any change orders and/or amendments changing the total contract amount may have on its ability to meet or exceed the Bidder/Proposer participation. Bidder/Proposer further acknowledges that it is responsible for submitting a Request for Modification or Substitution if it will be unable to meet or exceed the Bidder/Proposer participation set forth herein.
7. If Bidder/Proposer has not achieved both the M/WBE goal(s) set for this Project, Bidder/Proposer hereby requests a waiver of the MBE and/or WBE goal(s) that Bidder/Proposer has failed to achieve
8. Bidder/Proposer will present documentation of its good faith efforts, a narrative summary detailing its efforts and the reasons its efforts were unsuccessful when requested by the City.
9. I hereby certify that I am authorized to make this Affidavit on behalf of the Bidder/Proposer named below and who shall abide by the terms set forth herein:

Bidder/Proposer primary contact: John Brummer
Address: 415 Oak Street
Kansas City, MO 64106
Phone Number: 816-412-1769
Facsimile number: 816-410-1748
E-mail Address: john.brummer@tetrattech.com

By: *John Brummer*
Title: Senior Project Manager
Date: 4/21/21
(Attach corporate seal if applicable)

Subscribed and sworn to before me this 21 day of April, 2021

My Commission Expires: 7/29/2021 *Karen S. Bollinger*
Notary Public





LETTER OF INTENT TO SUBCONTRACT

Project Name/Title WMR in the area of NW Harlem Rd. to 14th St.,
Baltimore Avenue to Jefferson St.

Project Location/Number 80002270/9589

Check one:

Original LOI:

Updated LOI:

PART 1: Prime Contractor Tetra Tech, Inc agrees to enter into a contractual agreement with M/W/DBE/Section 3 Subcontractor TREKK Design Group, LLC who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Surveying Services

for an estimated amount of \$ 45,220 (or 9.6 % of the total estimated contract value.)

- M/WBE Vendor type:
- Subcontractor/manufacturer (counts as 100% of contract value towards goals)
 - Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
 - Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE/Section 3 Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

- Select one:
- The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
 - The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (circle one): MBE WBE DBE N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____

PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Tetra Tech, Inc.

John Brummer
Signature: Prime Contractor
Sr. Project Manager
Title

John Brummer
Print Name
05/14/21
Date

State of Missouri)
County of Jackson)

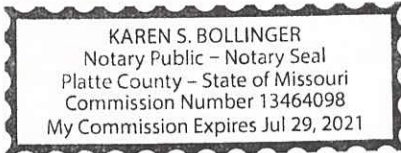
I, Karen Bollinger, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 14th day of May, 2021

My Commission Expires: 7-29-2021

Karen S Bollinger
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: TREKK Design Group, LLC.

Lucas Gillen
Signature: Prime Contractor
ASSOCIATE
Title

LUCAS GILLEN
Print Name
5/17/21
Date

State of Missouri)
County of Jackson)

I, Linda S Dodson, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 17th day of May, 2021

My Commission Expires: 6/30/2022

Linda S Dodson
Notary Public

STAMP:



LINDA S DODSON
My Commission Expires
June 30, 2022
Jackson County
Commission #14991560



LETTER OF INTENT TO SUBCONTRACT

Project Name/Title WMR in the area of NW Harlem Rd. to 14th St.,
Baltimore Avenue to Jefferson St.

Project Location/Number 80002270/9589

Check one:

Original LOI:

Updated LOI:

PART I: Prime Contractor Tetra Tech, Inc agrees to enter into a contractual agreement with M/W/DBE/Section 3 Subcontractor Taliaferro and Browne, Inc. who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Surveying Services

for an estimated amount of \$ 69,000 (or 14.6 % of the total estimated contract value.)

- M/WBE Vendor type:
- Subcontractor/manufacturer (counts as 100% of contract value towards goals)
 - Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
 - Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE/Section 3 Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

- Select one:
- The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
 - The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (circle one): MBE WBE DBE N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____



LETTER OF INTENT TO SUBCONTRACT

Project Name/Title WMR in the area of NW Harlem Rd. to 14th St.,
Baltimore Avenue to Jefferson St.

Project Location/Number 80002270/9589

Check one:
Original LOI: <input type="checkbox"/>
Updated LOI: <input type="checkbox"/>

PART 1: Prime Contractor Tetra Tech, Inc agrees to enter into a contractual agreement with M/W/DBE/Section 3 Subcontractor Environmental Advisors and Engineers, Inc. who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Project Administration, Envision Support, Utility Coordination Services

for an estimated amount of \$ 15,000 (or 3.6 % of the total estimated contract value.)

- M/WBE Vendor type:
- Subcontractor/manufacturer (counts as 100% of contract value towards goals)
 - Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
 - Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE/Section 3 Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

- Select one:
- The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
 - The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name	City, State and Zip Code
------------------------	--------------------------

Primary contact: _____

Name	Phone
------	-------

a) This subcontractor is (circle one): MBE WBE DBE N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____

PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Tetra Tech, Inc.

John Brummer Signature: Prime Contractor John Brummer Print Name
 Sr. Project Manager Title 05/14/21 Date

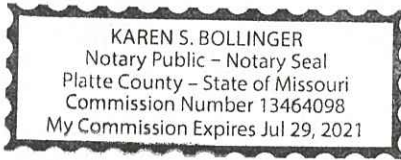
State of Missouri)
County of Jackson)

I, Karen Bollinger, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 14th day of May, 2021

My Commission Expires: 7-29-2021 Karen S. Bollinger
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: Environmental Advisors and Engineers, Inc.

Jill R. Biesma Signature: Prime Contractor Jill R. Biesma Print Name
 President Title 5/14/2021 Date

State of Kansas)
County of Johnson)

I, Linda Lozano-Dickerson, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this day of 14th, 2021

My Commission Expires: 9-26-2021 Linda Lozano-Dickerson
Notary Public

STAMP:



PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Tetra Tech, Inc.

John Brummer
Signature: Prime Contractor
Sr. Project Manager
Title

John Brummer
Print Name
05/14/21
Date

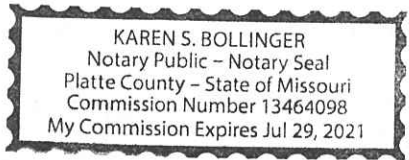
State of Missouri)
County of Jackson)

I, Karen Bollinger, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 14th day of May, 2021

My Commission Expires: 7-29-2021 *Karen S. Bollinger*
Notary Public

STAMP:



MWBE SUBCONTRACTOR BUSINESS NAME: Taliaferro and Browne, Inc.

Hagos E. Anderbhan
Signature: Prime Contractor
CEO
Title

HAGOS E. ANDERBHAN
Print Name
05/14/2021
Date

State of Missouri)
County of JACKSON)

I, Eartha Taylor, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 14th day of May, 2021

My Commission Expires: 03-07-2022 *Eartha J. Taylor*
Notary Public

STAMP:





LETTER OF INTENT TO SUBCONTRACT

Project Name/Title WMR in the area of NW Harlem Rd. to 14th St.,
Baltimore Avenue to Jefferson St.

Project Location/Number 80002270/9589

Check one:

Original LOI:

Updated LOI:

PART I: Prime Contractor Tetra Tech, Inc agrees to enter into a contractual agreement with M/W/DBE/Section 3 Subcontractor TSi Geotechnical, Inc. who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]
Geotechnical Services

for an estimated amount of \$ 5,000 (or 1.0 % of the total estimated contract value.)

- M/WBE Vendor type:
- Subcontractor/manufacturer (counts as 100% of contract value towards goals)
 - Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
 - Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE/Section 3 Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

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- Select one:
- The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
 - The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (circle one): MBE WBE DBE N/A

i. If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____

PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Tetra Tech, Inc.

John Brummer Signature: Prime Contractor John Brummer Print Name
Sr. Project Manager Title 05/14/21 Date

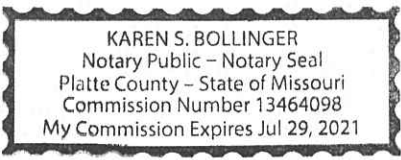
State of Missouri)
County of Jackson)

I, Karen Bollinger state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 14th day of May, 2021

My Commission Expires: 7-29-2021 *Karen S. Bollinger* Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: TSi Geotechnical Services

Morris E. Hevey, Jr. Signature: Prime Contractor Morris E. Hevey, Jr. Print Name
President Title 5/14/2021 Date

State of Missouri)
County of St. Louis)

I, Morris E. Hevey, Jr., President state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 14th day of May, 2021

My Commission Expires: 1/2/2022 *Jennifer A. Lederman* Notary Public

STAMP:

