REQUEST FOR MODIFICATION OR SUBSTITUTION

*(This Form **must** be submitted to HRD to request substitutions for an MBE/WBE listed in the Contractor Utilization Plan or for modification of the amount of MBE/WBE participation listed in the Contractor Utilization Plan. This Form shall be an amendment to the Contractor Utilization Plan.)*

BIDDER/PROPOSER/CONTRACTOR: Carollo Engineers, Inc.
ADDRESS: 903 E. 104th St, Suite 230, Kansas City, MO 64131
PROJECT NUMBER OR TITLE: Blue River Biosolids Facility Project #81000821 – WSD 1394
AMENDMENT/CHANGE ORDER NO: (if applicable) Amendment #4

Project Goals:	14	% MBE	7	% WBE
Contractor Utilization Plan:	14	% MBE	7	% WBE

1. I am the duly authorized representative of the above Bidder/Contractor/Proposer and am authorized to request this substitution or modification on behalf of the Bidder/Contractor/Proposer.

2. I hereby request that the Director of HRD recommend or approve: (check appropriate space(s))

   a. X A substitution of the certified MBE/WBE firm *Kansas City Testing & Engineering, LLC*
   (Name of new firm)

   to perform *material testing services.*
   (Scope of work to be performed by new firm)

   for the MBE/WBE firm *TSI Geotechnical, Inc., which left the Carollo team to join the Design-Builder team.*
   (Name of old firm)

   which is currently listed on the Bidder's/Contractor's/Proposer's Contractor Utilization Plan to perform the following scope of work: *geotechnical investigation and design* .
   (Scope of work of old firm)

   b. ____A modification of the amount of MBE/WBE participation currently listed on the Bidder's/Contractor's/Proposer's Contractor Utilization Plan from

   _____ % MBE_____% WBE *(Fill in % of MBE/WBE Participation currently listed on Contractor Utilization Plan)*

   TO

   _____% MBE _____% WBE *(Fill in New % of MBE/WBE Participation requested for Contractor Utilization Plan)*

   c. Attach 00450.01 Letter of Intent to Subcontract letter for each new MBE/WBE to be added.
   d. Attach a copy of the most recent 00485.01 or on-line M/WBE Monthly Utilization Report

3. Bidder/Contractor/Proposer states that a substitution or modification is necessary because: (check applicable reason(s))

   _X_ The MBE/WBE listed on the Contractor Utilization Plan is non-responsive or cannot perform.

   ___ The MBE/WBE listed on the Contractor Utilization Plan has increased its previously quoted price without a corresponding change in the scope of work.

   ___ The MBE/WBE listed on the Contractor Utilization Plan has committed a material default or breach of its contract.

   ___ Requirements of the scope of work of the contract have changed and make subcontracting not feasible or not feasible at the levels required by the goals established for the contract.

   ___ The MBE/WBE listed on the Contractor Utilization Plan is unacceptable to the City contracting department.

   _X_ Bidder/Contractor/Proposer has not attempted intentionally to evade the requirements of the Act and it is in the best interests of the City to allow a modification or substitution.

4. The following is a narrative summary of the Bidder's/Contractor's/Proposer's good faith efforts exhausted in attempts to substitute the MBE/WBE firm named above which is currently listed on the Contractor Utilization Plan with other qualified, certified MBE/WBE firms for the listed scope of work or any other scope of work in the project:

   TSI Geotechnical is currently conducting materials testing for the Design-Builder. Carollo lost TSI Geotechnical to the Design-Build Team following the completion of the Preliminary Design Phase of the Design Professional Contract. Please note that this departure of M/WBE subconsultants from the Carollo/Jacobs team mentioned was anticipated and encouraged by the Water Services Department, as the Design Build teams competing for the Design-Build contract represented a larger business opportunity for the M/WBE firms that elected to leave the Carollo Design Professional team upon issuance of the RFP.

   Carollo has identified Kansas City Engineering & Testing, LLC, a City-recognized WBE firm, as an additional team member with appropriate skills and capacity to assist on this project, and are requesting to add them to our CUP which will enable the City to demonstrate further dedication to promotion of local MBE/WBE firms.

5. If a modification is being requested because of option 3.d above, please complete the following: (N/A)
   The previous Contract Price was: **$**_____
   The Contract Price will be (☐ increased by) (☐ decreased by) (☐ unchanged) **$**_____
   The new Contract Price will be: **$**_____.

6. Bidder/Proposer/Contractor will present documentation when requested by the City to evidence its good faith efforts.

Dated: January 31, 2023

Carollo Engineering, Inc.
(Bidder/Proposer/Contractor)

By: _____
(Authorized Representative)

CITY OF FOUNTAINS
HEART OF THE NATION

KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 01394

Project Title Blue River WWTP Biosolids Facility Project

Carollo Engineers Inc ("Prime Contractor") agrees to enter into a contractual agreement with KC Testing & Engineering, LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

Materials testing services for City verification of Design-Builder's quality control

for an estimated amount of $ 10,000.00 or 0.01 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein,

contingent upon City approval of Contract Amendment 5 to the contract with the Prime Contractor.

Signature: Prime Contractor

Lynn Norton
Print Name

Vice President 01/05/2023
Title Date

Signature: M/W/DBE Subcontractor

Elisabeth DeCoursey
Print Name

President 01/05/23
Title Date

DocuSign Envelope ID: D313B433-C346-46CA-91CA-EC63FCD0843D

CITY OF KANSAS CITY, MISSOURI
Human Relations Department
M/WBE MONTHLY UTILIZATION REPORT

Report Date: 12/15/2022	**Project Name:** KCMO Blue River WWTP Biosolids Facility	**City Project Number:** 81000821	**City Contract Number:** 1394	
Project Address: 7300 Hawthorne Rd, Kansas City, MO 64120		**Contract Award Date:** 8/13/2018		**City Vendor ID:** 10852
General Contractor (GC): Carollo Engineers, Inc.		**City Contract Number:** 1394		**City Department Name** Water Services
Contact Person/Phone: Lynn Norton/816-326-6731		**General Contract Amount:** $6,327,902.77	**Total Amount Paid By City To Date:** $ 13,220,199.23	
General Contractor Address: 903 E 104th St, Suite 230 Kansas City, MO 64131		**Contract Goals:**	0% DBE	15.2% MBE 7.2% WBE
Email Address:		**Total Contract Days:**	410	**Completion Date:**

MBE/DBE Subcontractor	Date of Certification	Date of Subcontract	Subcontract Amount	% of Total Contract	Estimated Start date	Amount Paid This Period	Amount Paid To Date	% of Contract Paid to Date
Custom Engineering Inc		8/31/2018	$ 557,355.00	8.8%		$ -	$ 557,348.02	100%
Parson + Associates LLC		8/31/2018	$ 352,467.00	5.6%		$ 9,399.42	$ 240,591.59	68%
Taliaferro & Browne Inc		8/17/2018	$ 272,257.00	4.3%		$ -	$ 272,257.83	100%
Premier Engineering LLC		1/10/2020	$ 1,039,775.00	16.4%		$ 30,984.55	$ 953,339.41	92%
TSI Engineering, Inc		8/17/2018	$ 139,535.00	2.2%		$ -	$ 132,789.81	95%
WBE/DBE Subcontractor								
Trekk Design Group		8/17/2018	$ 340,082.00	5.4%		$ -	$ 340,078.61	100%
Wellner Architects Inc		8/31/2018	$ 803,519.00	12.7%		$ -	$ 620,977.14	77%
McCurdy Engineers, LLC		4/12/2021	$ 503,019.00	7.9%		$ 20,960.00	$ 365,372.17	73%
3T-Design & Development LLC			$ 262,032.00	4.1%		$ 10,731.96	$ 254,372.18	97%
Kansas City Testing & Engineer		10/3/2022				$ 1,055.64	$ 3,032.40	
Totals			$ 4,270,041.00	67.5%		$ 73,131.57	$ 3,740,159.16	87.6%

Contractor should submit report by the 15th of each month.

Blake Anderson, Project Manager
Water Services Department
4800 E. 63rd Street,
Kansas City, MO 64130
Phone: 816-513-0528
FAX: 816-513-0185

Narrative:

This is for period ending November 30, 2022

Report Submitted By: Stephani Pepa **Date:** 12/15/2022