



CONTRACTOR UTILIZATION PLAN/REQUEST FOR WAIVER

Project Number Project No. 81000999 / Contract 1677

Project Title Blue River WWTP Facility Plan and Improvements Design

Blue River WWTP Facility Plan and Improvements Design
(Department Project)

Water Services
Department

Carollo Engineers, Inc.
(Bidder/Proposer)

STATE OF _____)
) ss
COUNTY OF _____)

I, Wayne R. Miller Jr., of lawful age and upon my oath state as follows:

1. This Affidavit is made for the purpose of complying with the provisions of the MBE/WBE submittal requirements on the above project and the MBE/WBE Program and is given on behalf of the Bidder/Proposer listed below. It sets out the Bidder/Proposer's plan to utilize MBE and/or WBE contractors on the project.
2. The project target goals are 14 % MBE and 14 % WBE.
3. Bidder/Proposer assures that it will utilize a minimum of the following percentages of MBE/WBE participation in the above project:

BIDDER/PROPOSER PARTICIPATION: 14 % MBE 14 %
WBE

POST-BID/POST-RFP ESTIMATED BUDGET: \$ 3,230,111.19

4. The following are the M/WBE subcontractors whose utilization Bidder/Proposer warrants will meet or exceed the above-listed Bidder/Proposer Participation. Bidder/Proposer warrants that it will utilize the M/WBE subcontractors to provide the goods/services described in the applicable Letter(s) of Intent to Subcontract, copies of which shall collectively be deemed incorporated herein). (*All firms must currently be certified by Kansas City, Missouri*)

Name of M/WBE Firm Taliaferro & Browne, Inc. (MBE)

Address 1020 East 8th Street, Kansas City, MO 64106

Telephone No. 816.283.3456

I.R.S. No. 48-0758891



Additional MBE/WBE Firms

- g. Name of M/WBE Firm KMB Engineering, LLC (WBE)
Address 11412 West 114th Street, Overland Park, KS 66210
Telephone No. 913.707.0543
I.R.S. No. 87-4401810
- h. Name of M/WBE Firm McCurdy Engineers (WBE)
Address 9613 West 123rd Street, Overland Park, KS 66213
Telephone No. 816.868.4720
I.R.S. No. 27-3210878

Name of M/WBE Firm TREKK Design Group, LLC (WBE)
 Address 1411 East 104th Street, Kansas City, MO 64131
 Telephone No. 816.874.4655
 I.R.S. No. 43-1953275

Name of M/WBE Firm TSi Geotechnical, Inc. (MBE)
 Address 8248 NW 101st Terrace, #5, Kansas City, MO 64153
 Telephone No. 816.599.7965
 I.R.S. No. 43-1535463

Name of M/WBE Firm Custom Engineering, Inc. (MBE)
 Address 12760 East U.S. Highway 40, Independence, MO 64055
 Telephone No. 816.350.1473
 I.R.S. No. 43-1031915

Name of M/WBE Firm Environmental Advisors and Engineers, Inc. (WBE)
 Address 19211 West 64th Terrace, Shawnee, KS 66218
 Telephone No. 913.599.4326
 I.R.S. No. 43-1806626

Name of M/WBE Firm Parson + Associates, LLC (MBE)
 Address 1518 East 18th Street, Kansas City, MO 64108
 Telephone No. 816.216.6571
 I.R.S. No. 33-1169076

(List additional M/WBEs, if any, on additional page and attach to this form)

4. The following is a breakdown of the percentage of the total contract amount that Bidder/Proposer agrees to pay to each listed M/WBE:

MBE/WBE BREAKDOWN SHEET

MBE FIRMS:

Name of MBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
Taliaferro & Browne, Inc.		\$ 172,031.00	\$ 172,031.00	5.33
TSi Geotechnical, Inc.		\$ 33,593.00	\$ 33,593.00	1.04
Custom Engineering, Inc.		\$ 210,981.00	\$ 210,981.00	6.53
Parson + Associates, LLC		\$ 35,611.00	\$ 35,611.00	1.10



TOTAL MBE \$ / TOTAL MBE %:	\$ <u>452,216.00</u>	<u>14.00</u> %
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WBE FIRMS:

Name of WBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
TREKK Design Group, LLC		\$ 281,580.00	\$ 281,580.00	8.70
Environmental Advisors and Engineers, Inc.		\$ 111,333.00	\$ 111,333.00	3.45
KMB Engineering, LLC		\$ 56,457.00	\$ 56,457.00	1.75
McCurdy Engineers		\$ 7,100.00	\$ 7,100.00	0.20
TOTAL WBE \$ / TOTAL WBE %:		\$ <u>456,470.00</u>		<u>14.10</u> %

*“Subcontract Amount” refers to the dollar amount that Bidder/Proposer has agreed to pay each M/WBE subcontractor as of the date of contracting and is indicated here solely for the purpose of calculating the percentage that this sum represents in proportion to the total contract amount. Any contract amendments and/or change orders changing the total contract amount may alter the amount due an M/WBE under their subcontract for purposes of meeting or exceeding the Bidder/Proposer participation.

***“Weighted Value” means the portion of the subcontract amount that will be credited towards meeting the Bidder/Proposer participation. See CREO KC Forms and Instructions for allowable credit and special instructions for suppliers.

- Bidder/Proposer acknowledges that the monetary amount to be paid each listed M/WBE for their work, and which is approved herein, is an amount corresponding to the percentage of the total contract amount allocable to each listed M/WBE as calculated in the MBE/WBE Breakdown Sheet. Bidder/Proposer further acknowledges that this amount may be higher than the subcontract amount listed therein as change orders and/or amendments changing the total contract amount may correspondingly increase the amount of compensation due an M/WBE for purposes of meeting or exceeding the Bidder/Proposer participation



6. Bidder/Proposer acknowledges that it is responsible for considering the effect that any change orders and/or amendments changing the total contract amount may have on its ability to meet or exceed the Bidder/Proposer participation. Bidder/Proposer further acknowledges that it is responsible for submitting a Request for Modification or Substitution if it will be unable to meet or exceed the Bidder/Proposer participation set forth herein.
7. If Bidder/Proposer has not achieved both the M/WBE goal(s) set for this Project, Bidder/Proposer hereby requests a waiver of the MBE and/or WBE goal(s) that Bidder/Proposer has failed to achieve
8. Bidder/Proposer will present documentation of its good faith efforts, a narrative summary detailing its efforts and the reasons its efforts were unsuccessful when requested by the City.
9. I hereby certify that I am authorized to make this Affidavit on behalf of the Bidder/Proposer named below and who shall abide by the terms set forth herein:

Bidder/Proposer primary contact: Wayne Miller
Address: 903 E 104th Street, Suite 230
Kansas City, MO 64131
Phone Number: 816.326.6730
Facsimile number: _____
E-mail Address: wmiller@carollo.com

By: 
Title: Vice President
Date: 6/21/2023
(Attach corporate seal if applicable)

Subscribed and sworn to before me this 21 day of June, 2023.

My Commission Expires: 28 Sept. 2026


Notary Public





LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI: ☒

Updated LOI: ☐

Project Name/Title Blue River WWTP Facility Plan and Improvements Design

Project Location/Number Blue River WWTP / Proj. No. 81000999 / Contract 1677

PART I: Prime Contractor Carollo Engineers, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor Taliaferro & Browne, Inc. (MBE) who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Structural condition assessment of the existing grit removal facilities, structural and site civil conceptual engineering services for the Wet-Weather and BNR Options, and structural and site civil preliminary engineering services Grit Removal System Upgrade alternatives,

for an estimated amount of \$ 172,031 (or 5.3 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacture (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one: ☒ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (select one): ☐ MBE ☐ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____



PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Carollo Engineers, Inc.

Wayne R Miller, Jr.
Signature: Prime Contractor
Vice President
Title

Wayne R Miller, Jr.
Print Name
6/21/2023
Date

State of Missouri)
County of Jackson)

I, Sara E McPhail, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 21st
day of June, 20 23

My Commission Expires: 28 Sept. 2026

Sara E McPhail
Notary Public

STAMP:



MWBE SUBCONTRACTOR BUSINESS NAME: Taliaferro & Browne, Inc. (MBE)

Hagos E Andebrhan
Signature: Subcontractor
CEO
Title

Hagos E, Andebrhan
Print Name
06/20/2023
Date

State of Missouri)
County of Jackson)

I, Hagos E Andebrhan, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 20th
day of June, 20 23

My Commission Expires: 03-07-2026

Eartha J. Taylor
Notary Public

STAMP:





LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI: ☒

Updated LOI: ☐

Project Name/Title Blue River WWTP Facility Plan and Improvements Design

Project Location/Number Blue River WWTP / Proj. No. 81000999 / Contract 1677

PART I: Prime Contractor Carollo Engineers, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor TREKK Design Group, LLC. (WBE) who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Utility survey, LiDAR scanning, topographic survey, site civil engineering support

for an estimated amount of \$ 281,580 (or 8.7 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacturer (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one: ☒ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (select one): ☐ MBE ☐ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____



PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Carollo Engineers, Inc.

Wayne R Miller, Jr.
Signature: Prime Contractor

Vice President

Title

Wayne R Miller, Jr.
Print Name

Print Name

6/21/2023
Date

State of Missouri)

County of Jackson)

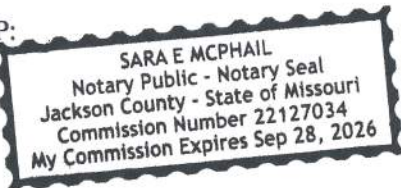
I, Sara E McPhail, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 21st
day of June, 20 23

My Commission Expires: 28 Sept 2026

Sara E McPhail
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: TREKK Design Group, LLC. (WBE)

Justin Likes
Signature: Subcontractor

Associate Partner

Title

Justin Likes
Print Name

Print Name

6/20/2023
Date

Date

State of Missouri)

County of Jackson)

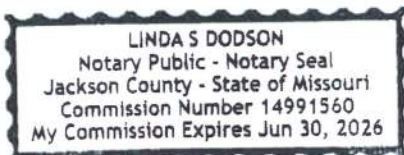
I, Linda S Dodson, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this
day of June 20th, 20 23

My Commission Expires: 6/30/2026

Linda S Dodson
Notary Public

STAMP:





LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI: ☒

Updated LOI: ☐

Project Name/Title Blue River WWTP Facility Plan and Improvements Design

Project Location/Number Blue River WWTP / Proj. No. 81000999 / Contract 1677

PART I: Prime Contractor Carollo Engineers, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor Tech. Services to Go, Inc. (MBE) who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Review of existing geotechnical reports, geotechnical recommendations pertaining to immediate projects, and geotechnical recommendations pertaining to planned projects.

for an estimated amount of \$ 33,593 (or 1 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacturer (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one: ☒ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (select one): ☐ MBE ☐ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____



PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Carollo Engineers, Inc.

Wayne R Miller, Jr.
Signature: Prime Contractor
Vice President
Title

Wayne R Miller, Jr.
Print Name
6/21/2023
Date

State of Missouri)

County of Jackson)

I, Sara E McPhail, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 21st
day of June, 20 23

My Commission Expires: 28 Sept 2026

Sara E McPhail
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: Tech. Services to Go, Inc. (MBE)

Denise B. Hervey
Signature: Subcontractor
CEO
Title

Denise B. Hervey
Print Name
06/20/23
Date

State of Missouri)

County of St. Louis)

I, Denise B. Hervey, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 20th
day of June, 20 23

My Commission Expires: 9/24/23

[Signature]
Notary Public

STAMP:





LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI: ☒

Updated LOI: ☐

Project Name/Title Blue River WWTP Facility Plan and Improvements Design

Project Location/Number Blue River WWTP / Proj. No. 81000999 / Contract 1677

PART I: Prime Contractor Carollo Engineers, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor Custom Engineering, Inc. (MBE) who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Condition assessment of Electrical, HVAC and plumbing systems, Electrical Load Study, 20% Design of Electrical, HVAC and plumbing systems for the

Grit Removal System Upgrade alternatives, Conceptual Design of Electrical, HVAC and plumbing systems for the Wet Weather Option and the BNR Option.

for an estimated amount of \$ 210,981 (or 6.53 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacturer (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one: ☒ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (select one): ☐ MBE ☐ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____

PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Carollo Engineers, Inc.

Wayne R Miller, Jr.
Signature: Prime Contractor
Vice President
Title

Wayne R Miller, Jr.
Print Name
6/21/2023
Date

State of Missouri)
County of Jackson)

I, Sara E McPhail, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 21st
day of June, 2023

My Commission Expires: 28 Sept. 2026 Sara E McPhail
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: Custom Engineering, Inc. (MBE)

Joseph T. Davis
Signature: Subcontractor
CEO
Title

Joseph T. Davis
Print Name
06/20/2023
Date

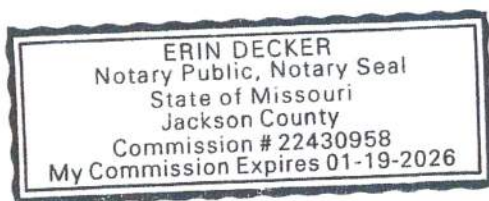
State of Missouri)
County of Jackson)

I, Joseph T. Davis, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this
day of June 20th, 2023

My Commission Expires: 1-19-2026 Erin Decker
Notary Public

STAMP:





LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI: ☒

Updated LOI: ☐

Project Name/Title Blue River WWTP Facility Plan and Improvements Design

Project Location/Number Blue River WWTP / Proj. No. 81000999 / Contract 1677

PART I: Prime Contractor Carollo Engineers, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor Environmental Advisors and Engineers, Inc (WBE) who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Condition assessment of architectural systems at the existing Grit Removal Facility, conceptual design of architectural systems for the Wet Weather Option and BNR Option, and 20% Architectural Design for the Grit Removal System Upgrade alternatives

for an estimated amount of \$ 111,333 (or 3.45 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacture (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

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☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (select one): ☐ MBE ☐ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

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SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Carollo Engineers, Inc.

Wayne R Miller, Jr.
Signature: Prime Contractor
Vice President
Title

Wayne R Miller, Jr.

Print Name

Date

6/21/2023

State of Missouri

County of Jackson

I, Sara E McPhail,
and belief.

state that the above and foregoing is based on my best knowledge

Subscribed and sworn to before me, a notary public, on this 21st
day of June, 2023

My Commission Expires: 28 Sept 2026

Sara E McPhail
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: Environmental Advisors and Engineers, Inc.

Robert Bens
Signature: Subcontractor
Principal/VP
Title

Robert Bens

Print Name

6/20/2023

Date

State of Missouri

County of Clay

I, Robert Bens,
and belief.

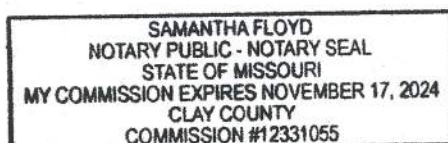
state that the above and foregoing is based on my best knowledge

Subscribed and sworn to before me, a notary public, on this 20th
day of June, 2023

My Commission Expires: November 17, 2024

S. A. Floyd
Notary Public

STAMP:





LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI: ☒

Updated LOI: ☐

Project Name/Title Blue River WWTP Facility Plan and Improvements Design

Project Location/Number Blue River WWTP / Proj. No. 81000999 / Contract 1677

PART 1: Prime Contractor Carollo Engineers, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor Parson and Associates (MBE) who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Participation in, and assistance with, meetings and development of the public outreach plan.

for an estimated amount of \$ 35,611 (or 1.1 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacture (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one: ☒ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (select one): ☐ MBE ☐ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____

PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Carollo Engineers, Inc.

Wayne R Miller, Jr.
Signature: Prime Contractor
Vice President
Title

Wayne R Miller, Jr.
Print Name
6/21/2023
Date

State of Missouri)
County of Jackson)

I, Sara E McPhail, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 21st day of June, 20 23

My Commission Expires: 28 Sept 2026 Sara E McPhail
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: Parson and Associates (MBE)

Robert "Jason" Parson
Signature: Subcontractor
Pr Ltr
Title

Robert "Jason" Parson
Print Name
21 June 23
Date

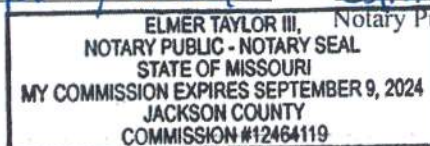
State of)
County of)

I, _____, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 21st day of June 20 23

My Commission Expires: Sept 9, 2024 Elmer Taylor III
Notary Public

STAMP:





LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI: ☒

Updated LOI: ☐

Project Name/Title Blue River WWTP Facility Plan and Improvements Design

Project Location/Number Blue River WWTP / Proj. No. 81000999 / Contract 1677

PART 1: Prime Contractor Carollo Engineers, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor KMB Engineering LLC (WBE) who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Condition assessment of I&C systems at the existing Grit Removal Facility, I&C preliminary engineering design for the Grit Removal System Upgrade alternatives.

for an estimated amount of \$ 56,457 (or 1.75 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacture (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one: ☒ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (select one): ☐ MBE ☒ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____

PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Carollo Engineers, Inc.

Signature: Prime Contractor
Vice President
Title

Wayne R Miller, Jr.

Print Name

Date

6/21/2023

State of Missouri)

County of Jackson)

I, Sara E McPhail, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 2021st
day of June, 20 23

My Commission Expires: 28 Sept. 2026

Sara E McPhail
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: KMB Engineering LLC

Signature: Subcontractor
Principal
Title

Kathleen M Buechler

Print Name

6/20/23

Date

State of)

County of)

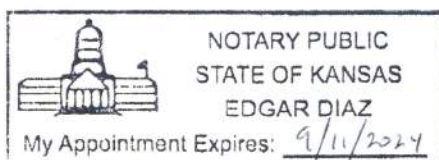
I, Kathleen M Buechler, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this
day of June 20th, 20 23

My Commission Expires: 9/11/2024

Edgar Diaz
Notary Public

STAMP:





LETTER OF INTENT TO SUBCONTRACT

Check one:
Original LOI: ☒
Updated LOI: ☐

Project Name/Title Blue River WWTP Facility Plan and Improvements Design

Project Location/Number Blue River WWTP / Proj. No. 81000999 / Contract 1677

PART 1: Prime Contractor Carollo Engineers, Inc. agrees to enter into a contractual agreement with M/W/DBE Subcontractor McCurdy Engineers, LLC (WBE) who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Participation in constructibility reviews.

for an estimated amount of \$ 7,100 (or 0.2 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacture (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one: ☒ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: McCurdy Engineers

Full address: 420 W 123RD TERR KCMO 64145
Street number and name City, State and Zip Code

Primary contact: AMY McCURDY 816-868-4720
Name Phone

a) This subcontractor is (select one): ☐ MBE ☒ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____



PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Carollo Engineers, Inc.

Wayne R Miller, Jr.
Signature: Prime Contractor
Vice President
Title

Wayne R Miller, Jr.
Print Name
6/21/2023
Date

State of Missouri)
County of Jackson)

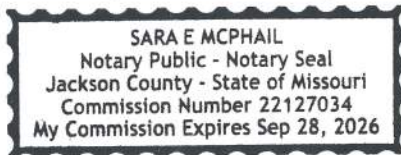
I, Sara E McPhail, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 21
day of June, 20 23

My Commission Expires: 28 Sept. 2026

Sara E McPhail
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: McCurdy Engineers, LLC (WBE)

Amy L. McCurdy
Signature: Subcontractor
PRESIDENT
Title

Amy L. McCurdy
Print Name
6/20/2023
Date

State of Missouri)
County of Jackson County)

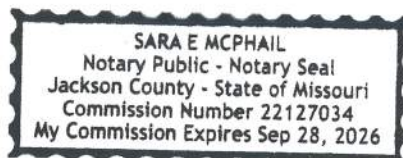
I, Sara E McPhail, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 20
day of June, 20 23

My Commission Expires: 28 Sept. 2026

Sara E McPhail
Notary Public

STAMP:



TIMETABLE FOR MBE/WBE UTILIZATION

(This form should be submitted to the City after contract award.)

I, Wayne R. Miller Jr., acting in my capacity as Vice President
(Name) (Position with Firm)
of Carollo Engineers, Inc., with the submittal of this Timetable, certify that
(Name of Firm)
the following timetable for MBE/WBE utilization in the fulfillment of this contract is correct and true to the best of my knowledge.

ALLOTTED TIME FOR THE COMPLETION OF THIS CONTRACT

(Check one only)

15 days	☐	75 days	☐	135 days	☐
30 days	☐	90 days	☐	150 days	☐
45 days	☐	105 days	☐	165 days	☐
60 days	☐	120 days	☐	180 days	☐
Other	<u>1095 Days</u> (Specify)				

Throughout x Beginning 1/3
Middle 1/3 Final 1/3
Beginning 1/3 34 % Middle 1/3 33 % Final 1/3 33 %

PLEASE NOTE: Any changes in this timetable require approval of the Civil Rights & Equal Opportunity Department in advance of the change.

If you have any questions regarding the completion of this form, please contact the Civil Rights & Equal Opportunity Department at: (816) 513-1836.

Wayne R. Miller Jr.
(Signature)

Vice President

(Position with Firm)

6/21/2023

(Date)