HRD Contractor Utilization Plan Approval

Date: April 13, 2016 REVISED April 21, 2016
To: Phillip Yelder, Human Relations Department
From: Leona Walton, Water Services Department
Subject: Contract/Project No.: 1277/60810049
Project Title: City-Wide Sewer Cleaning, Closed Circuit Television (CCTV) Inspection, & Manhole Locating & Opening for Overflow Control Program Projects

Funding: ■ City (MBE/WBE/DBE) ☐ Federal (DBE)[1] ☐ State (DBE)[1] - Grant _____ ☐ Other:	
Contract Category: ☐ Construction ☐ General Service ☐ Facility Repair ☐ Design Professional ■ Professional Services ☐ Concession ☐ Other:	
Bid/Proposal Closing Date:	**No. of Bidders/Proposers:**
Recommended Bid/Proposal[2]: $3,000,000.00	
Company: Ace Pipe Cleaning, Inc. **Contact:** Bruce Vantine **Contact Email:** bvantine@acepipe.com	**Address:** 4000 E. Truman Road, KCMO 64127 **Phone:** 816-241-2891 **Fax:** 816-241-5054
Additional Information:	

cc: Nicki Chestnut, Project Manager

FOR HUMAN RELATIONS DEPARTMENT USE ONLY

The attached Contractor Utilization Plan is:

☒ Approved

☐ Disapproved

The Request for Best Faith Efforts Waiver is:

☐ Approved

☐ Disapproved

☐ Not Applicable

Contractor Utilization Plan Participation: 15 % MBE & 10 % WBE **or** ____ % DBE

Human Relations Department

04/25/16
Date

FOR GRANTING AGENCY USE ONLY[3] ☐ N/A

Approved by:

Date

[1] DBE Programs apply to specific federal or state grant requirements.
[2] The dollar figure here should match the approved Bid/Proposal recommendation or amendment preparation checklist on file with the requesting department.
[3] Federal and state grant agreements may require granting agency approval of contract goals.

Inter-Departmental Communication

Date: April 25, 2016

To: Jermaine Reed, Chair: Transportation and Infrastructure

From: Phillip Yelder, Director Human Relations Department

Subject: Docket Memo

CONTRACTOR:	Ace Pipe Cleaning, Inc.
Address:	4000 E. Truman Rd. Kansas City, MO 64127
Contract:	1277/60810049 CW Sewer Cleaning, CCTV Inspection, & Manhole Locating & Opening for Overflow Control Program Projects
Contract Amount:	$3,000,000.00
MBE Goal:	15%
WBE Goal:	10%
Total MBE Achieved:	15%
Total WBE Achieved:	10%

MBE SUBCONTRACTORS:

Name:	Blue Nile Contractors
Address:	95 Drake St. Claycomo, MO 64119
Scope of Work:	Sewer Cleaning, CCTV Inspections
Dollar Amount:	13% of total contract ($390,000)
Ownership:	Henok Tekeste
Structure:	African American Male Code 15

MBE SUBCONTRACTORS:

Name:	Elite Root Control, LLC
Address:	6220 Blue Ridge Cutoff, Ste. A Raytown, MO 64133
Scope of Work:	Sewer Cleaning
Dollar Amount:	1% of total contract ($30,000)
Ownership:	Quentin Gardner
Structure:	African American Male Code 15

MBE SUBCONTRACTORS:

Name:	SE3, LLC
Address:	230 SW Main St., Ste. 213 Lee's Summit, MO 64063
Scope of Work:	Land Survey / Pipeline Inspections
Dollar Amount:	1% of total contract ($30,000)

CONTRACTOR UTILIZATION PLAN/REQUEST FOR WAIVER

Project Number 60810049/ 1277

Project Title CITY-WIDE SEWER LINE CLEANING AND CLOSED CIRCUIT TELEVISION (CCTV) INSPECTION AND MANHOLE LOCATING AND OPENING FOR OVERFLOW CONTROL PROGRAM PROJECTS

(Department Project)

WATER SERVICES

Department

ACE PIPE CLEANING, INC.

(Bidder/Proposer)

STATE OF MISSOURI)
) ss
COUNTY OF JACKSON)

I, BRUCE VANTINE, of lawful age and upon my oath state as follows:

1. This Affidavit is made for the purpose of complying with the provisions of the MBE/WBE submittal requirements on the above project and the MBE/WBE Program and is given on behalf of the Bidder/Proposer listed below. It sets out the Bidder/Proposer's plan to utilize MBE and/or WBE contractors on the project.

2. The project goals are ~~10~~ 15 % **MBE** and ~~8~~ 10 % **WBE.** Bidder/Proposer assures that it will utilize a minimum of the following percentages of MBE/WBE participation in the above project:

BIDDER/PROPOSER PARTICIPATION: 15 % **MBE** 10 % **WBE**

3. The following are the M/WBE subcontractors whose utilization Bidder/Proposer warrants will meet or exceed the above-listed Bidder/Proposer Participation. Bidder/Proposer warrants that it will utilize the M/WBE subcontractors to provide the goods/services described in the applicable Letter(s) of Intent to Subcontract, copies of which shall collectively be deemed incorporated herein). ***(All firms must currently be certified by Kansas City, Missouri)***

   a. Name of M/WBE Firm TREKK Design Group LLC
   Address 1441 E 104th Street, Ste 105, Kansas City, MO 64131
   Telephone No. 816-874-4655
   I.R.S. No. 43-1953275

b. Name of M/WBE Firm Blue Nile Contractors, Inc.
Address 95 S Drake, Claycomo, MO 64119
Telephone No. 816-505-1302
I.R.S. No. 20-3557498

c. Name of M/WBE Firm Elite Root Control, LLC
Address 6220 Blue Ridge Cutoff, Suite 300, Raytown, MO 64133
Telephone No. 816-923-1744
I.R.S. No. 45-4702859

d. Name of M/WBE Firm SE3, LLC
Address 230 SW Main Street, Suite 213, Lee's Summit, MO 64063
Telephone No. 816-272-5545
I.R.S. No. 20-1307980

e. Name of M/WBE Firm _____
Address _____
Telephone No. _____
I.R.S. No. _____

f. Name of M/WBE Firm _____
Address _____
Telephone No. _____
I.R.S. No. _____

(List additional M/WBEs, if any, on additional page and attach to this form)

4. The following is a breakdown of the percentage of the total contract amount that Bidder/Proposer agrees to pay to each listed M/WBE:

MBE/WBE BREAKDOWN SHEET

MBE FIRMS:

Name of MBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
Blue Nile Contractors	Contractor	13% of awarded contract	98%	13%
Elite Root Control, LLC	Contractor	1% of awarded contract	1%	1%
SE3, LLC	Contractor	1% of awarded contract	1%	1%

TOTAL MBE $ / TOTAL MBE %: $ 15% of awarded contract 15 %

WBE FIRMS:

Name of WBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Valuc**	% of Total Contract
TREKK Design Group LLC	Contractor	$ 10% of awarded contract	100%	10%

TOTAL WBE $ / TOTAL WBE %: $ 10% of awarded contract 10 %

*"Subcontract Amount" refers to the dollar amount that Bidder/Proposer has agreed to pay each M/WBE subcontractor as of the date of contracting and is indicated here solely for the purpose of calculating the percentage that this sum represents in proportion to the total contract amount. Any contract amendments and/or change orders changing the total contract amount may alter the amount due an M/WBE under their subcontract for purposes of meeting or exceeding the Bidder/Proposer participation.

**"Weighted Value" means the portion of the subcontract amount that will be credited towards meeting the Bidder/Proposer participation. See HRD Forms and Instructions for allowable credit and special instructions for suppliers.

5. Bidder/Proposer acknowledges that the monetary amount to be paid each listed M/WBE for their work, and which is approved herein, is an amount corresponding to the percentage of the total contract amount allocable to each listed M/WBE as calculated in the MBE/WBE Breakdown Sheet. Bidder/Proposer further acknowledges that this amount may be higher than

the subcontract amount listed therein as change orders and/or amendments changing the total contract amount may correspondingly increase the amount of compensation due an M/WBE for purposes of meeting or exceeding the Bidder/Proposer participation

6. Bidder/Proposer acknowledges that it is responsible for considering the effect that any change orders and/or amendments changing the total contract amount may have on its ability to meet or exceed the Bidder/Proposer participation. Bidder/Proposer further acknowledges that it is responsible for submitting a Request for Modification or Substitution if it will be unable to meet or exceed the Bidder/Proposer participation set forth herein.

7. If Bidder/Proposer has not achieved both the M/WBE goal(s) set for this Project, Bidder/Proposer hereby requests a waiver of the MBE and/or WBE goal(s) that Bidder/Proposer has failed to achieve

8. Bidder/Proposer will present documentation of its good faith efforts, a narrative summary detailing its efforts and the reasons its efforts were unsuccessful when requested by the City.

9. I hereby certify that I am authorized to make this Affidavit on behalf of the Bidder/Proposer named below and who shall abide by the terms set forth herein:

Bidder/Proposer primary contact: Ace Pipe Cleaning, Inc., Bruce Vantine
Address: 4000 Truman Road, Kansas City, MO 64127
Phone Number: 816-241-2891
Facsimile number: 816-241-5054
E-mail Address: bvantine@acepipe.com

By: Bru Vant
Title: Bruce Vantine, Secretary/Treasurer
Date: 02/18/2016
(Attach corporate seal if applicable)

Subscribed and sworn to before me this 18th day of February, 2016.

My Commission Expires: 10/06/2019

Notary Public

THERESA A. CALVERT
Comm. Exp. 10-06-19
NOTARY PUBLIC
NOTARY SEAL
#15385237
Jackson County
STATE OF MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 60810049 / 1277

Project Title CITY-WIDE SEWER LINE CLEANING, CLOSED CURCUIT TELEVISION (CCTV) INSPECTION, AND MANHOLE LOCATING AND OPENING FOR OVERFLOW CONTROL PROAGRAM PROJECTS

ACE PIPE CLEANING, INC. ("Prime Contractor") agrees to enter into a contractual agreement with TREKK DESIGN GROUP LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

SEWER CLEANING, CCTV INSPECTION OF SEWERS & MANHOLE LOCATING.
SURVEYS FOR CLEARING & GRUBBING.

for an estimated amount of $ TBD or 10 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, **contingent upon award of the contract to Prime Contractor.**

ACE PIPE CLEANING, INC.

Signature: Prime Contractor

BRUCE VANTINE
Print Name

SECRETARY/TREASURER 2/15/16
Title Date

Signature: M/W/DBE Subcontractor

Kimberly Robinett
Print Name

Managing Member 2/15/2016
Title Date

CITY OF FOUNTAINS
HEART OF THE NATION

KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 60810049 / 1277

Project Title CITY-WIDE SEWER LINE CLEANING, CLOSED CIRCUIT TELEVISION (CCTV) INSPECTION, AND MANHOLE LOCATING AND OPENING FOR OVERFLOW CONTROL PROGRAM PROJECTS

ACE PIPE CLEANING, INC. ("Prime Contractor") agrees to enter into a contractual agreement with BLUE NILE CONTRACTORS, INC. ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

SEWER CLEANING, CCTV INSPECTIONS OF SEWERS, MANHOLE LOCTING & OPENING, CLEARING & GRUBBING.

for an estimated amount of $ TBD or 13 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, **contingent upon award of the contract to Prime Contractor.**

ACE PIPE CLEANING, INC.

Signature: Prime Contractor

BRUCE VANTINE
Print Name

SECRETARY/TREASURER — Title
2/17/16 — Date

BLUE NILE CONTRACTORS, INC.

Signature: M/W/DBE Subcontractor

Henok Teklesle
Print Name

President — Title
4/11/16 — Date

CITY OF FOUNTAINS
HEART OF THE NATION

KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 60810049 / 1277

Project Title CITY-WIDE SEWER LINE CLEANING, CLOSED CIRCUIT TELEVISION (CCTV) INSPECTION, AND MANHOLE LOCATING AND OPENING FOR OVERFLOW CONTROL PROGRAM PROJECTS

ACE PIPE CLEANING, INC. ("Prime Contractor") agrees to enter into a contractual agreement with ELITE ROOT CONTROL, LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

SEWER CLEANING

for an estimated amount of $ TBD or 1 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, **contingent upon award of the contract to Prime Contractor**.

ACE PIPE CLEANING, INC.

Signature: Prime Contractor

BRUCE VANTINE
Print Name

SECRETARY/TREASURER 2/17/16
Title Date

ELITE ROOT CONTROL, LLC

Signature: M/W/DBE Subcontractor

Quentin Gardner
Print Name

CEO/President 2/20/16
Title Date

CITY OF FOUNTAINS
HEART OF THE NATION

KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 60810049 / 1277

Project Title CITY-WIDE SEWER LINE CLEANING, CLOSED CIRCUIT TELEVISION (CCTV) INSPECTION, AND MANHOLE LOCATING AND OPENING FOR OVERFLOW CONTROL PROGRAM PROJECTS

ACE PIPE CLEANING, INC. ("Prime Contractor") agrees to enter into a contractual agreement with SE3, LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

FIELD SURVEY & CCTV INSPECTIONS

for an estimated amount of $ TBD or 1 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein.

contingent upon award of the contract to Prime Contractor

ACE PIPE CLEANING, INC.	SE3, LLC
Signature: Prime Contractor	Signature M/W/DBE Subcontractor
BRUCE VANTINE	Vernal Stewart
Print Name	Print Name
SECRETARY/TREASURER	President
Title	Title
2/17/16	2/18/2016
Date	Date

CITY OF FOUNTAINS
HEART OF THE NATION

KANSAS CITY
MISSOURI

LETTER OF INTENT TO SUBCONTRACT

Project Number 60810049 / 1277

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ACE PIPE CLEANING, INC. ("Prime Contractor") agrees to enter into a contractual agreement with SE3, LLC ("M/W/DBE Subcontractor"), who will provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g., "electrical," "plumbing," etc.) or the listing of the NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

FIELD SURVEY & CCTV INSPECTIONS

for an estimated amount of $ TBD or 1 % of the total estimated contract value.

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Human Relations Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein **contingent upon award of the contract to Prime Contractor**

ACE PIPE CLEANING, INC.	SE3, LLC
Signature: Prime Contractor	Signature M/W/DBE Subcontractor
BRUCE VANTINE	Vernal Stewart
Print Name	Print Name
SECRETARY/TREASURER	President
Title	Title
2/17/16	2/18/2016
Date	Date